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(54) Title: METHOD FOR PREPARING POLYPEPTIDE VARIANTS			
(57) Abstract			
<p>The present invention relates to a method for preparing positive polypeptide variants by shuffling different nucleotide sequences of homologous DNA sequences by <i>in vivo</i> recombination comprising the steps of: (a) forming at least one circular plasmid comprising a DNA sequence encoding a polypeptide; (b) opening said circular plasmid(s) within the DNA sequence(s) encoding the polypeptide(s); (c) preparing at least one DNA fragment comprising a DNA sequence homologous to at least a part of the polypeptide coding region on at least one of the circular plasmid(s); (d) introducing at least one of said opened plasmid(s), together with at least one of said homologous DNA fragment(s) covering full-length DNA sequences encoding said polypeptide(s) or parts thereof, into a recombination host cell; (e) cultivating said recombination host cell; and (f) screening for positive polypeptide variants.</p>			

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Title: Method for preparing polypeptide variants

FIELD OF THE INVENTION

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The present invention relates to a method for preparing polypeptide variants by *in vivo* recombination.

10 **BACKGROUND OF THE INVENTION**

The advantages of producing biologically active polypeptides by cloning naturally occurring DNA sequences from microorganisms, such as fungal organisms and bacteria using recombinant DNA technology 15 have been known for quite some years.

Preparation of novel polypeptide variants and mutants, such as novel modified enzymes with altered characteristics, e.g. specific activity, substrate specificity, pH-optimum, pI, K_m , V_{max} etc., have 20 especially during the recent years diligently and successfully been used for obtaining polypeptides with improved properties.

For instance, within the technical field of enzymes the washing and/or dishwashing performance of e.g. proteases, lipases, amylases 25 and cellulases have been improved significantly.

In most cases these improvements have been obtained by site-directed mutagenesis resulting in substitution, deletion or insertion of specific amino acid residues which have been chosen either on the 30 basis of their type or on the basis of their location in the secondary or tertiary structure of the mature enzyme (see for instance US patent no. 4,518,584).

An alternative general approach for modifying proteins and enzymes 35 have been based on random mutagenesis, for instance, as disclosed in US 4,894,331 and WO 93/01285

As it is a cumbersome and time consuming process to obtain polypeptide variants or mutants with improved functional properties a 40 few alternative methods for rapid preparation of modified polypeptides have been suggested.

Weber et al., (1983), Nucleic Acids Research, vol 11, 5661-5661, describes a method for modifying genes by *in vivo* recombination between two homologous genes. A linear DNA sequence comprising a plasmid vector flanked to a DNA sequence encoding alpha-1 human interferon in the 5'-end and a DNA sequence encoding alpha-2 human interferon in the 3'-end is constructed and transfected into a rec A positive strain of *E. coli*. Recombinants were identified and isolated using a resistance marker.

10

Pompon et al., (1989), Gene 83, p. 15-24, describes a method for shuffling gene domains of mammalian cytochrome P-450 by *in vivo* recombination of partially homologous sequences in *Saccharomyces cerevisiae* by transforming *Saccharomyces cerevisiae* with a linearized plasmid with filled-in ends, and a DNA fragment being partially homologous to the ends of said plasmid.

15

Stemmer, (1994), Proc. Natl. Acad. Sci. USA, Vol. 91, 10747-10751; Stemmer, (1994), Nature, vol. 370, 389- 391, concern methods for shuffling homologous DNA sequences by an *in vitro* PCR method. One cycle of shuffling consists of digesting a pool of homologous genes with DNase I. The resulting small fragments are reassembled into full-length genes. Positive recombinant genes containing shuffled DNA sequences are selected from a DNA library based on their improved function. Positive recombinants can be used as the starting material for (an)other shuffling round(s).

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US patent no. 5,093,257 (Assignee: Genencor Int. Inc.) discloses a method for producing hybrid polypeptides by *in vivo* recombination.

25

Hybrid DNA sequences are produced by forming a circular vector comprising a replication sequence, a first DNA sequence encoding the amino-terminal portion of the hybrid polypeptide, a second DNA sequence encoding the carboxy-terminal portion of said hybrid polypeptide. The circular vector is transformed into a rec positive microorganism in which the circular vector is amplified. This results in recombination of said circular vector mediated by the naturally occurring recombination mechanism of the rec positive microorganism, which include prokaryotes such as *Bacillus* and *E. coli*, and eukaryotes such as *Saccharomyces cerevisiae*.

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Despite the existence of the above methods there are still need for even better iterative *in vivo* recombination methods for preparing novel positive polypeptide variants.

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SUMMARY OF THE INVENTION

The object of the present invention is to provide an improved method for preparing positive polypeptide variants by an *in vivo* 10 recombination method.

The inventor of the present invention have surprisingly found that such positive polypeptide variants may advantageously be prepared by shuffling different nucleotide sequences of homologous DNA sequences 15 by *in vivo* recombination comprising the steps of

- a) forming at least one circular plasmid comprising a DNA sequence encoding a polypeptide,
- b) opening said circular plasmid(s) within the DNA sequence(s) 20 encoding the polypeptide(s),
- c) preparing at least one DNA fragment comprising a DNA sequence homologous to at least a part of the polypeptide coding region on at least one of the circular plasmid(s),
- d) introducing at least one of said opened plasmid(s), together with at least one of said 25 homologous DNA fragment(s) covering full-length DNA sequences encoding said polypeptide(s) or parts thereof, into a recombination host cell,
- e) cultivating said recombination host cell, and
- f) screening for positive polypeptide variants.

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BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows the yeast expression plasmid pJS026 comprising DNA sequence encoding the *Humicola lanuginosa* lipase gene.

35 Figure 2 shows the yeast expression plasmid pJS037, comprising DNA sequence encoding the *Humicola lanuginosa* lipase gene containing twelve additional restriction sites.

Figure 3 shows the plasmid pJS026.

Figure 4 shows the plasmid pJS037.

40 Figure 5 shows the *in vivo* recombination of the 0.9 kb synthetic wild-type *Humicola lanuginosa* lipase with pJS037 using *Saccharomyces*

cerevisiae as the recombination host cell (described in Example 1). Figure 6 shows the *in vivo* recombination of a DNA fragment prepared from *Humicola lanuginosa* lipase variant (y) with *Humicola lanuginosa* lipase variant (d) comprised in a plasmid using *Saccharomyces cerevisiae* as the recombination host cell (described in Example 2). Figure 7 shows an overview over the location of the inactivation site of the *Humicola lanuginosa* lipase gene and the number of the clone (referred to as "blue number" in the tables). Location of restriction enzyme sites and clone numbers are relative to the initiation codon of the lipase gene. In all cases a stop codon was located in the new reading frame 10 to 50 bp from the frameshift. Figure 8 shows an overview of the creation of active *humicola lanuginosa* lipase genes from the recombinations in table 2A and B by a "mosaic mechanism". Lines indicate the introduction of the fragment sequence into the vector and lines with a x indicate sequences that are not introduced in the active lipase colonies. The primers used for the PCR fragment are shown together with the location of the frameshift mutation (marked by the restriction site used for the construction). Figure 9 shows an overview of fragments used in the recombination of 2 partial overlapping fragments into a gapped vector. The primers used for the PCR fragments are shown together with the location of the frameshift mutation (if not wild type). Figure 10 shows an overview of fragments used in the recombination of 3 partial overlapping fragments into a gapped vector. The primers used for the PCR fragments are shown. The overlap between PCR353 and 355 is only a 10 bp.

DETAILED DESCRIPTION OF THE INVENTION

The object of the present invention is to provide an improved method for preparing positive polypeptide variants by an iterative *in vivo* recombination method.

The inventor of the present invention have surprisingly found an efficient method for shuffling homologous DNA sequences in an *in vivo* recombination system using a eukaryotic cell as a recombination host cell..

A "recombination host cell" is in the context of the present invention a cell capable of mediating shuffling of a number of homologous DNA sequences.

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The term "shuffling" means recombination of nucleotide sequence(s) between two or more homologous DNA sequences resulting in output DNA sequences (i.e. DNA sequences having been subjected to a shuffling cycle) having a number of nucleotides exchanged, in comparison to the input DNA sequences (i.e. starting point homologous DNA sequences).

10 An important advantage of the invention is that mosaic DNA sequences with multiple replacement points or replacements, not related to the opening site, is created, which is not discovered in Pompon's method.

15 An other important advantage of the present invention is that when using a mixture of fragments and opened vectors (in the screening set up) it gives the possibility of many different clones to recombine pairwise or even triplewise (as can be seen in a couple of examples below).

20 The *in vivo* recombination method of the invention simple to perform and results in a high level of mixing of homologous genes or variants. A large number of variants or homologous genes can be mixed in one transformation. The mixing of improved variants or wild type genes followed by screening increases the number of further improved variants manyfold compared to doing only random mutagenesis.

25 Recombination of multiple overlapping fragments is possible with a high efficiency increasing the mixing of variants or homologous genes using the *in vivo* recombination method. An overlap as small as 30 10 bp is sufficient for recombination which may be utilized for very easy domain shuffling of even distantly related genes.

35 The invention relates to a method for preparing polypeptide variants by shuffling different nucleotide sequences of homologous DNA sequences by *in vivo* recombination comprising the steps of

- a) forming at least one circular plasmid comprising a DNA sequence encoding a polypeptide,
 - b) opening said circular plasmid(s) within the DNA sequence(s) encoding the polypeptide(s),
5 c) preparing at least one DNA fragment comprising a DNA sequence homologous to at least a part of the polypeptide coding region on at least one of the circular plasmid(s), d) introducing at least one of said opened plasmid(s), together with at least one of said homologous DNA fragment(s) covering full-length DNA sequences
10 encoding said polypeptide(s) or parts thereof, into a recombination host cell,
e) cultivating said recombination host cell, and
f) screening for positive polypeptide variants.
- 15 According to the invention more than one cycle of step a) to f) may be performed.

The opening of the plasmid(s) in step b) can be directed toward any site within the polypeptide coding region of the plasmid. The
20 plasmid(s) may be opened by any suitable methods known in the art. The opened ends of the plasmid may be filled-in with nucleotides as described in Pompon et al. (1989), *supra*). It is preferred not to fill in the opened ends as it might create a frameshift.

- 25 It is preferred to open the plasmid(s) around the middle of the polypeptide coding DNA sequence(s), as this is believed to result in a more effective recombination between DNA fragment(s) and opened plasmid(s).
- 30 In an embodiment of the invention the DNA fragment(s) is(are) prepared under conditions resulting in a low, medium or high random mutagenesis frequency.

To obtain low mutagenesis frequency the DNA sequence(s) (comprising
35 the DNA fragment(s)) may be prepared by a standard PCR amplification method (US 4,683,202 or Saiki et al., (1988), *Science* 239, 487 - 491).

A medium or high mutagenesis frequency may be obtained by performing
40 the PCR amplification under conditions which increase the mis-incorporation of nucleotides, for instance as described by Deshler,

(1992), GATA 9(4), 103-106; Leung et al., (1989), Technique, Vol. 1, No. 1, 11-15.

- It is also contemplated according to the invention to combine the PCR amplification (i.e. according to this embodiment also DNA fragment mutation) with a mutagenesis step using a suitable physical or chemical mutagenizing agent, e.g., one which induces transitions, transversions, inversions, scrambling, deletions, and/or insertions.
- 5 In the context of the present invention the term "positive polypeptide variants" means resulting polypeptide variants possessing functional properties which has been improved in comparison to the polypeptides producible from the corresponding input DNA sequences. Examples, of such improved properties can be as different as e.g. 10 biological activity, enzyme washing performance, antibiotic resistance etc.
- 15

Consequently, which screening method to be used for identifying positive variants depend on the desired improved property of the 20 polypeptide variant in question.

If, for instance, the polypeptide in question is an enzyme and the desired improved functional property is the wash performance, the screening in step f) may conveniently be performed by use of a 25 filter assay based on the following principle:

The recombination host cell is incubated on a suitable medium and under suitable conditions for the enzyme to be secreted, the medium being provided with a double filter comprising a first protein-binding filter and on top of that a second filter exhibiting a low protein binding capability. The recombination host cell is located on the second filter. Subsequent to the incubation, the first filter comprising the enzyme secreted from the recombination host cell is separated from the second filter comprising said cells. The first 30 filter is subjected to screening for the desired enzymatic activity and the corresponding microbial colonies present on the second filter are identified.

40 The filter used for binding the enzymatic activity may be any protein binding filter e.g. nylon or nitrocellulose. The topfilter carrying the colonies of the expression organism may be any filter

that has no or low affinity for binding proteins e.g. cellulose acetate or Durapore[®]. The filter may be pre-treated with any of the conditions to be used for screening or may be treated during the detection of enzymatic activity.

5

The enzymatic activity may be detected by a dye, fluorescence, precipitation, pH indicator, IR-absorbance or any other known technique for detection of enzymatic activity.

10

The detecting compound may be immobilized by any immobilizing agent e.g. agarose, agar, gelatine, polyacrylamide, starch, filter paper, cloth; or any combination of immobilizing agents.

15

If the improved functional property of the polypeptide is not sufficiently good after one cycle of shuffling, the polypeptide may be subjected to another cycle.

20

In an embodiment of the invention at least one shuffling cycle is a backcrossing cycle with the initially used DNA fragment, which may be the wild-type DNA fragment. This eliminates non-essential mutations. Non-essential mutations may also be eliminated by using wild-type DNA fragments as the initially used input DNA material.

25

It is to be understood that the method of the invention is suitable for all types of polypeptide, including enzymes such as proteases, amylases, lipases, cutinases, amylases, cellulases, peroxidases and oxidases.

30

Also contemplated according to the invention is polypeptides having biological activity such as insulin, ACTH, glucagon, somatostatin, somatotropin, thymosin, parathyroid hormone, pigmentary hormones, somatomedin, erythropoietin, luteinizing hormone, chorionic gonadotropin, hypothalamic releasing factors, antidiuretic hormones, thyroid stimulating hormone, relaxin, interferon, thrombopoietin (TPO) and prolactin.

40

Especially contemplated according to the present invention is initially to use input DNA sequences being either wild-type, variant or modified DNA sequences, such as a DNA sequences coding for wild-type, variant or modified enzymes, respectively, in particular enzymes exhibiting lipolytic activity.

In an embodiment of the invention the lipolytic activity is a lipase activity derived from the filamentous fungi of the *Humicola* sp., in particular *Humicola lanuginosa*, especially *Humicola lanuginosa*.

5

In a specific embodiment of the invention the initially used input DNA fragment to be shuffled with a homologous polypeptide is the wild-type DNA sequence encoding the *Humicola lanuginosa* lipase derived from *Humicola lanuginosa* DSM 4109 described in EP 305 216
10 (Novo Nordisk A/S).

Also specifically encompassed by the scope of the invention is input DNA sequences selected from the group of vectors (a) to (f) and/or DNA fragments (g) to (aa) coding for *Humicola lanuginosa* lipase variants from the list below in the Material and Method section.

Throughout the present application the name *Humicola lanuginosa* has been used to identify one preferred parent enzyme, i.e. the one mentioned immediately above. However, in recent years *H. lanuginosa* 20 has also been termed *Thermomyces lanuginosus* (a species introduced the first time by Tsiklinsky in 1989) since the fungus show morphological and physiological similarity to *Thermomyces lanuginosus*. Accordingly, it will be understood that whenever reference is made to *H. lanuginosa* this term could be replaced by 25 *Thermomyces lanuginosus*. The DNA encoding part of the 18S ribosomal gene from *Thermomyces lanuginosus* (or *H. lanuginosa*) have been sequenced. The resulting 18S sequence was compared to other 18S sequences in the GenBank database and a phylogenetic analysis using parsimony (PAUP, Version 3.1.1, Smithsonian Institution, 1993) have 30 also been made. This clearly assigns *Thermomyces lanuginosus* to the class of *Plectomycetes*, probably to the order of *Eurotiales*. According to the Entrez Browser at the NCBI (National Center for Biotechnology Information), this relates *Thermomyces lanuginosus* to families like *Eremascaceae*, *Monoascaceae*, *Pseudoeurotiaceae* and 35 *Trichocomaceae*, the latter containing genera like *Emericella*, *Aspergillus*, *Penicillium*, *Eupenicillium*, *Paecilomyces*, *Talaromyces*, *Thermoascus* and *Sclerocleista*.

Consequently, such genes encoding lipolytic enzymes of filamentous 40 fungi of the genera *Emericella*, *Aspergillus*, *Penicillium*,

Eupenicillium, *Paecilomyces*, *Talaromyces*, *Thermoascus* and *Sclerocleista* are also specifically contemplated according to the present invention.

- 5 Other examples of relevant filamentous fungi genes encoding lipolytic enzymes include strains of the *Absidia* sp. e.g. the strains listed in WO 96/13578 (from Novo Nordisk A/S) which are hereby incorporated by reference. *Absidia* sp. strains listed in WO 96/13578 include *Absidia blakesleeana*, *Absidia corymbifera* and
10 *Absidia reflexa*.

Strains of *Rhizopus* sp., in particular *Rh. niveus* and *Rh. oryzae* are also contemplated according to the invention.

- 15 The lipolytic gene may also be derived from a bacteria, such as a strain of the *Pseudomonas* sp., in particular *Ps. fragi*, *Ps. stutzeri*, *Ps. cepacia* and *Ps. fluorescens* (WO 89/04361), or *Ps. plantarii* or *Ps. gladioli* (US 4,950,417) or *Ps. alcaligenes* and *Ps. 20 pseudoalcaligenes* (EP 218 272, EP 331 376, or WO 94/25578 (disclosing variants of the *Ps. pseudoalcaligenes* lipolytic enzyme), the *Pseudomonas* sp. variants disclosed in EP 407 225, or a *Pseudomonas* sp. lipolytic enzyme, such as the *Ps. mendocina* (also termed *Ps. putida*) lipolytic enzyme described in WO 88/09367 and US 5,389,536 or variants thereof as described in US 5,352,594, or *Ps. 25 auroginosa* or *Ps. glumae*, or *Ps. syringae*, or *Ps. wisconsinensis* (WO 96/12012 from Solvay) or a strain of *Bacillus* sp., e.g. the *B. subtilis* described by Dartois et al., (1993) Biochimica et Biophysica acta 1131, 253-260, or *B. stearothermophilus* (JP 64/7744992) or *B. pumilus* (WO 91/16422) or a strain of *Streptomyces* 30 sp., e.g. *S. scabies*, or a strain of *Chromobacterium* sp. e.g. *C. viscosum*.

- In connection with the *Pseudomonas* sp. lipases it has been found that lipases from the following organisms have a high degree of 35 homology, such as at least 60% homology, at least 80% homology or at least 90% homology, and thus are contemplated to belong to the same family of lipases: *Ps. ATCC21808*, *Pseudomonas* sp. lipase commercially available as Liposam®, *Ps. aeruginosa* EF2, *Ps. aeruginosa* PAC1R, *Ps. aeruginosa* PAO1, *Ps. aeruginosa* TE 3285, *Ps. 40 sp. 109*, *Ps. pseudoalcaligenes* M1, *Ps. glumae*, *Ps. cepacia* DSM 3959,

5 *Ps. cepacia* M-12-33, *Ps. sp.* KWI-56, *Ps. putida* IFO 3458, *Ps. putida* IFO 12049 (Gilbert, E. J., (1993), *Pseudomonas lipases: Biochemical properties and molecular cloning. Enzyme Microb. Technol.*, 15, 634-645). The species *Pseudomonas cepacia* has recently been reclassified as *Burkholderia cepacia*, but is termed *Ps. cepacia* in the present application.

10 Also genes encoding lipolytic enzymes from yeasts are relevant, and include lipolytic genes from *Candida* sp., in particular *Candida rugosa*, or *Geotrichum* sp., in particular *Geotrichum candidum*.

15 Specific examples of microorganisms comprising genes encoding lipolytic enzymes used for commercially available products and which may serve as donor of genes to be shuffled according to the invention include *Humicola lanuginosa*, used in Lipolase®, Lipolase® Ultra, *Ps. mendocina* used in Lumafast®, *Ps. alcaligenes* used in Lipomax®, *Fusarium solani*, *Bacillus* sp. (US 5427936, EP 528828), *Ps. mendocina*, used in Liposam®.

20 It is to be emphasized that genes encoding lipolytic enzyme to be shuffled according to the invention may be any of the above mentioned genes of lipolytic enzymes and any variant, modification, or truncation thereof. Examples of such genes which are specifically contemplated include the genes encoding the enzymes described in WO 92/05249, WO 94/01541, WO 94/14951, WO 94/25577, WO 95/22615 and a protein engineered lipase variants as described in EP 407 225; a protein engineered *Ps. mendocina* lipase as described in US 5,352,594; a cutinase variant as described in WO 94/14964; a variant of an *Aspergillus* lipolytic enzyme as described in EP patent 167,309; and *Pseudomonas* sp. lipase described in WO 95/06720.

30 A request to the DNA sequences, encoding the polypeptide(s), to be shuffled, is that they are at least 60%, preferably at least 70%, better more than 80%, especially more than 90%, and even better up to almost 100% homologous. DNA sequences being less homologous will have less inclination to interact and recombine.

35 It is also contemplated according to the invention to shuffle parent (homologous) wild type organisms of different genera.

40 Further, the DNA fragment(s) to be shuffled may preferably have a length of from about 20 bp to 8 kb, preferably about 40 bp to 6 kb,

more preferred about 80 bp to 4 kb, especially about 100 bp to 2 kb, to be able to interact optimally with the opened plasmid.

5 The method of the invention is very efficient for preparing polypeptide variants in comparison to prior art method comprising transforming linear DNA fragments/sequences.

10 The inventor found that the transformation frequency of a mixture of opened plasmid and a DNA fragment were significantly higher than when transforming a plasmid cut at the same site alone. The transformation frequency of the opened plasmid and DNA fragment were as high as for uncut plasmid.

15 Without being limited to any theory it is believed that the opening of the plasmid(s) restrict(s) the replication of (opened) plasmid(s) when not interacting with at least one DNA fragment. In accordance with this an increased number of recombined DNA sequences were found after only one shuffling cycle.

20 As described in Example 1 50% of the resulting transformants contained recombined DNA sequences of both input DNA sequences. As high as 20% of the total number of recombined DNA sequences were "random" mixtures (i.e. having more than one region of nucleotides exchanged).

25 The input DNA sequences may be any DNA sequences including wild-type DNA sequences, DNA sequences encoding variants or mutants, or modifications thereof, such as extended or elongated DNA sequences, and may also be the outcome of DNA sequences having been subjected 30 to one or more cycles of shuffling (i.e. output DNA sequences) according to the method of the invention or any other method (e.g. any of the methods described in the prior art section).

When using the method of the invention the output DNA sequences (i.e. shuffled DNA sequences), have had a number of nucleotide(s) exchanged. This results in replacement of at least one amino acid within the polypeptide variant, if comparing it with the parent polypeptide. It is to be understood that also silent mutations is contemplated (i.e. nucleotide exchange which does not result in 40 changes in the amino acid sequence).

However, the method of the present invention will in most cases lead to the replacement of a considerable number of amino acid and may in certain cases even alter the structure of one or more polypeptide domains (i.e. a folded unit of polypeptide structure).

According to the present invention more than two DNA sequences are shuffled at the same time. Actually any number of different DNA fragments and homologous polypeptides comprised in suitable plasmids 10 may be shuffles at the same time. This is advantageous as a vast number of quite different variants can be made rapidly without an abundance of iterative procedures.

The inventor have tested the nucleotide shuffling method of the 15 invention using significantly more than two homologous DNA sequences. As described in Example 2 it was surprisingly found that the method of the invention advantageously can be used for recombining more than two DNA sequences.

One cycle of shuffling according to the method of the invention may result in the exchange of from 1 to 1000 nucleotides into the opened 20 plasmid DNA sequence encoding the polypeptide in question. The exchanged nucleotide sequence(s) may be continuous or may be present as a number of sub-sequences within the full-length sequence(s).

To support the present invention the inventor made a number of additional experiments on different aspect on the method of the invention. The experiments are described below and illustrated in the Example 3 to 6 below.

A number of vectors and fragments comprising an inactivated 30 synthetic *Humicola lanuginosa* lipase genes were constructed by introducing frameshift/stop codon mutations in the lipase gene at various positions. These were used for monitoring the *in vivo* 35 recombination of different combinations of opened vector(s) and DNA fragments. The number of active lipase colonies were scored as described in Example 3. The number of colonies determines the efficiency of the opened vector(s) and fragment(s) recombination.

One frameshift mutation in said *Humicola lanuginosa* lipase gene in 40 the opened vector and another in the fragment on the opposite side

of the opening site gave 3 to 32% of active lipase colonies depending on the location and combination. It was concluded that the closer that the mutation is at the ends of the vector the higher mixing.

5

One frameshift mutation in the opened vector and two in the fragment on each side of the opening site gave 4 to 42% of active colonies depending on the location and combination. Some of these active colonies can be considered to be mosaics, not only related to the opening site.

10 Two frameshift mutations in the opened vector on each side of the opening site and one in the fragment gave 0.5 to 3.1% of active colonies depending on the location and combination. Most of these active colonies are mosaics of the "parent" DNA.

15 Two frameshift mutations in the opened vector on each side of the opening site and a wild type fragment gave 7.7 to 10.7% of active colonies depending on the location.

20

It was also found that the amount of vectors relative to fragments and the size of the fragments are also influencing the result.

25 Using of the *S. cerevisiae* rad52 mutants as the recombination host cell showed that the rad52 mutant transformed very well with wild type plasmid(s) and expressed the *Humicola lanuginosa* lipase gene, but gave no transformants at all with the opened vectors and fragments.

30 The RAD52 function is required for "classical recombination" (but not for unequal sister-strand mitotic recombination) showing that the recombination of opened vector and fragment could involve a classical recombination mechanism.

35 Classical recombination is the recombination mechanism involved in the recombination between genes located on nonsister chromatids of homologous chromosomes as defined in for example Petes TD, Malone RE and Symington LS (1991) "Recombination in Yeast", page 407-522, in The Molecular and Cellular Biology of the Yeast *Saccharomyces*,
40 Volume 1 (eds. Broach JR, Pringle JR and Jones EW), Cold Spring Harbor Laboratory Press, New York.

Multiple partially overlapping fragments

The inventor also tested recombination of multiple partial overlapping fragments using the method of the invention.

5

The recombination of 2 and 3 partial overlapping fragments into a gapped (i.e. that the opening result in cutting out of a little part of the gene) vector were tested and gave a high recovery of recombined *Humicola lanuginosa* lipase gene. The recovery of active 10 lipase gene from different combinations of inactivated *Humicola lanuginosa* genes was tested for the recombination of 2 partial overlapping fragments. The tendency was a higher mixing in the overlapping region between the 2 fragments in the gapped region than in the vector and fragment overlap.

15

When recombining many fragments from the same region, the multiple overlapping fragment technique will increase the mixing by itself, but it is also important to have a relative high random mixing in overlapping regions in order to mix closely located 20 variants/differences.

An overlap as small as 10 bp between two fragments were found to be sufficient to obtain a very efficient recombination. Therefore, overlapping in the range from 5 to 5000 bp, preferably from 10 bp to 25 500 bp, especially 10 bp to 100 bp is suitable according to the method of the invention.

According to this embodiment of the present invention 2 or more overlapping fragments, preferable 2 to 6 overlapping fragments, 30 especially 2 to 4 overlapping fragments may advantageously be used as input fragments in a shuffling cycle.

Besides increasing the mixing of genes, this is a very useful method for domain shuffling by creating small overlaps between DNA 35 fragments from different domains and screen for the best combination.

For instance, in the case of three DNA fragments the overlapping regions may be as follows:

40 - the first end of the first fragment overlaps the first end of the opened plasmid,

- the first end of the second fragment overlaps the second end of the first fragment, and the second end of the second fragment overlaps the first end of the third fragment,
 - the first end of the third fragment overlaps (as stated above) the second end of the second fragment, and the second end of the third fragment overlaps the second end of the opened plasmid.
- 5

It is to be understood that when using two or more DNA fragments as starting material it is preferred to have continuos overlaps between
10 the ends of the plasmid and the DNA fragments.

Even though it is preferred to shuffle homologous DNA sequences in the form of DNA fragment(s) and opened plasmid(s), it is also contemplated according to the invention to shuffle two or more
15 opened plasmids comprising homologous DNA sequences encoding polypeptides. However, in such case it is compulsory to open the plasmids at different sites.

20 In an further embodiment of the invention two or more opened plasmids and one or more homologous DNA fragments are used as the starting material to be shuffled. The ratio between the opened plasmid(s) and homologous DNA fragment(s) preferably lie in the range from 20:1 to 1:50, preferable from 2:1 to 1:10 (mol vector:mol
25 fragments) with the specific concentrations being from 1 pM to 10 M of the DNA.

The opened plasmids may advantagously be gapped in such a way that the overlap between the fragments is deleted in the vector in order to select for the recombination).

30

Preparing the DNA fragment

The DNA fragment to be shuffled with the homologous polypeptide comprised in an opened plasmid may be prepared by any suitable method. For instance, the DNA fragment may be prepared by PCR
35 amplification (polymerase chain reaction), as described above, of a plasmid or vector comprising the gene of the polypeptide, using specific primers, for instance as described in US 4,683,202 or Saiki et al., (1988), Science 239, 487 - 491. The DNA fragment may also be cut out from a vector or plasmid comprising the desired DNA sequence
40 by digestion with restriction enzymes, followed by isolation using e.g. electrophoresis.

The DNA fragment encoding the homologous polypeptide in question may alternatively be prepared synthetically by established standard methods, e.g. the phosphoamidite method described by Beaucage and 5 Caruthers, (1981), Tetrahedron Letters 22, 1859 - 1869, or the method described by Matthes et al., (1984), EMBO Journal 3, 801 - 805. According to the phosphoamidite method, oligonucleotides are synthesized, e.g. in an automatic DNA synthesizer, purified, annealed, ligated and cloned in suitable vectors.

10

Furthermore, the DNA fragment may be of mixed synthetic and genomic, mixed synthetic and cDNA or mixed genomic and cDNA origin prepared by ligating fragments of synthetic, genomic or cDNA origin (as appropriate), the fragments corresponding to various parts of the 15 entire DNA sequence, in accordance with standard techniques.

The plasmid

The plasmid comprising the DNA sequence encoding the polypeptide in question may be prepared by ligating said DNA sequence into a 20 suitable vector or plasmid, or by any other suitable method.

Said vector may be any vector which may conveniently be subjected to recombinant DNA procedures. The choice of vector will often depend on the recombination host cell into which it is to be introduced.

25

Thus, the vector may be an autonomously replicating vector, i.e. a vector which exists as an extrachromosomal entity, the replication of which is independent of chromosomal replication; e.g. a plasmid. Alternatively, the vector may be one which, when introduced into the 30 recombination host cell, is integrated into the host cell genome and replicated together with the chromosome(s) into which it has been integrated.

To facilitate the screening process it is preferred that the vector 35 is an expression vector in which the DNA sequence encoding the polypeptide in question is operably linked to additional segments required for transcription of the DNA. In general, the expression vector is derived from a plasmid, a cosmid or a bacteriophage, or may contain elements of any or all of these.

40

The term, "operably linked" indicates that the segments are arranged so that they function in concert for their intended purposes, e.g. transcription initiates in a promoter and proceeds through the DNA sequence coding for the polypeptide in question.

5

The promoter may be any DNA sequence which shows transcriptional activity in the recombination host cell of choice and may be derived from genes encoding proteins, such as enzymes, either homologous or heterologous to the host cell.

10

Examples of suitable promoters for use in yeast host cells include promoters from yeast glycolytic genes (Hitzeman et al., (1980), J. Biol. Chem. 255, 12073 - 12080; Alber and Kawasaki, (1982), J. Mol. Appl. Gen. 1, 419 - 434) or alcohol dehydrogenase genes (Young et al., in Genetic Engineering of Microorganisms for Chemicals (Hollaender et al, eds.), Plenum Press, New York, 1982), or the TPII (US 4,599,311) or ADH2-4c (Russell et al., (1983), Nature 304, 652 - 654) promoters.

20

Examples of suitable promoters for use in filamentous fungus host cells are, for instance, the ADH3 promoter (McKnight et al., (1985), The EMBO J. 4, 2093 - 2099) or the tpiA promoter. Examples of other useful promoters are those derived from the gene encoding *A. oryzae* TAKA amylase, *Rhizomucor miehei* aspartic proteinase, *A. niger* neutral a-amylase, *A. niger* acid stable a-amylase, *A. niger* or *A. awamori* glucoamylase (gluA), *Rhizomucor miehei* lipase, *A. oryzae* alkaline protease, *A. oryzae* triose phosphate isomerase or *A. nidulans* acetamidase. Preferred are the TAKA-amylase and gluA promoters.

30

The DNA sequence encoding polypeptide in question invention may also, if necessary, be operably connected to a suitable terminator, such as the human growth hormone terminator (Palmiter et al., op. cit.) or (for fungal hosts) the TPII (Alber and Kawasaki, op. cit.) or ADH3 (McKnight et al., op. cit.) terminators. The vector may further comprise elements such as polyadenylation signals (e.g. from SV40 or the adenovirus 5 Elb region), transcriptional enhancer sequences (e.g. the SV40 enhancer) and translational enhancer sequences (e.g. the ones encoding adenovirus VA RNAs).

40

The vector may further comprise a DNA sequence enabling the vector to replicate in the recombination host cell in question.

When the host cell is a yeast cell, suitable sequences enabling the vector to replicate are the yeast plasmid 2m replication genes REP

5 1-3 and origin of replication.

The plasmid pY1 can be used for production of useful proteins and peptides, using filamentous fungi, such as *Aspergillus* sp., and yeasts as recombinant host cells (JP06245777-A).

10

The vector may also comprise a selectable marker, e.g. a gene the product of which complements a defect in the recombination host cell, such as the gene coding for dihydrofolate reductase (DHFR) or the *Schizosaccharomyces pombe* TPI gene (described by P.R. Russell, 15 (1985), Gene 40, 125-130).

Another example of such suitable selective markers are the *ura3* and *leu2* genes which complements the corresponding defect genes of e.g. the yeast strain *Saccharomyces cerevisiae* YNG318.

20

The vector may also comprise a selectable marker which confers resistance to a drug, e.g. ampicillin, kanamycin, tetracycline, chloramphenicol, neomycin, hygromycin or methotrexate. For filamentous fungi, selectable markers include amdS, pyrG, argB, niaD, 25 sC, trpC, pyr4, and DHFR.

To direct the polypeptide in question into the secretory pathway of the recombination host cell, a secretory signal sequence (also known as a leader sequence, prepro sequence or pre sequence) may be 30 provided in the recombinant vector. The secretory signal sequence is joined to the DNA sequence encoding the lipolytic enzyme in the correct reading frame. Secretory signal sequences are commonly positioned 5' to the DNA sequence encoding the polypeptide. The secretory signal sequence may be the signal normally associated with 35 the polypeptide in question or may be from a gene encoding another secreted protein.

The signal peptide may be naturally occurring signal peptide, or a functional part thereof, or it may be a synthetic peptide. For 40 secretion from yeast cells, suitable signal peptides have been found to be the a-factor signal peptide (cf. US 4,870,008), the signal

peptide of mouse salivary amylase (cf. O. Hagenbuchle et al., (1981), Nature 289, 643-646), a modified carboxypeptidase signal peptide (cf. L.A. Vallis et al., (1987), Cell 48, 887-897), the *Humicola lanuginosa* lipase signal peptide, the yeast BAR1 signal 5 peptide (cf. WO 87/02670), or the yeast aspartic protease 3 (YAP3) signal peptide (cf. M. Egel-Mitani et al., (1990), Yeast 6, 127-137).

- For efficient secretion in yeast, a sequence encoding a leader 10 peptide may also be inserted downstream of the signal sequence and upstream of the DNA sequence encoding the polypeptide in question. The function of the leader peptide is to allow the expressed polypeptide to be directed from the endoplasmic reticulum to the Golgi apparatus and further to a secretory vesicle for secretion 15 into the culture medium (i.e. exportation of the polypeptide across the cell wall or at least through the cellular membrane into the periplasmic space of the yeast cell). The leader peptide may be the yeast a-factor leader (the use of which is described in e.g. US 4,546,082, EP 16 201, EP 123 294, EP 123 544 and EP 163 529). 20 Alternatively, the leader peptide may be a synthetic leader peptide, which is to say a leader peptide not found in nature. Synthetic leader peptides may, for instance, be constructed as described in WO 89/02463 or WO 92/11378.
- 25 For use in filamentous fungi, the signal peptide may conveniently be derived from a gene encoding an *Aspergillus* sp. amylase or glucoamylase, a gene encoding a *Rhizomucor miehei* lipase or protease, a *Humicola lanuginosa* lipase. The signal peptide is preferably derived from a gene encoding *A. oryzae* TAKA amylase, *A. niger* neutral α -amylase, *A. niger* acid-stable amylase, or *A. niger* glucoamylase. 30

The recombination host cell

- 35 The recombination host cell, into which the mixture of plasmid/fragment DNA sequences are to be introduced, may be any eukaryotic cell, including fungal cells and plant cells, capable of recombining the homologous DNA sequences in question.

- According to prior art prokaryotic microorganisms, such as bacteria 40 including *Bacillus* and *E. coli*; eukaryotic organisms, such as

filamentous fungi, including *Aspergillus* and yeasts such as *Saccharomyces cerevisiae*; and tissue culture cells from avian or mammalian origins have been suggested for *in vivo* recombination. All of said organisms can be used as recombination host cell, but in general prokaryotic cells are not sufficiently effective (i.e. does not result in a sufficient number of variants) to be suitable for recombination methods for industrial use.

Consequently, preferred recombination host cells according to the present invention are fungal cells, such as yeast cells or filamentous fungi.

Examples of suitable yeast cells include cells of *Saccharomyces* sp., in particular strains of *Saccharomyces cerevisiae* or *Saccharomyces kluyveri* or *Schizosaccharomyces* sp.. Methods for transforming yeast cells with heterologous DNA and producing heterologous polypeptides therefrom are described, e.g. in US 4,599,311, US 4,931,373, US 4,870,008, 5,037,743, and US 4,845,075, all of which are hereby incorporated by reference. Transformed cells may be selected by, e.g., a phenotype determined by a selectable marker, commonly drug resistance or the ability to grow in the absence of a particular nutrient, e.g. leucine. A preferred vector for use in yeast is the POT1 vector disclosed in US 4,931,373. The DNA sequence encoding the polypeptide may be preceded by a signal sequence and optionally a leader sequence, e.g. as described above. Further examples of suitable yeast cells are strains of *Kluyveromyces*, such as *K. lactis*, *Hansenula*, e.g. *H. polymorpha*, or *Pichia*, e.g. *P. pastoris* (cf. Gleeson et al., (1986), J. Gen. Microbiol. 132, 3459-3465; US 4,882,279).

30

Examples of other fungal cells are cells of filamentous fungi, e.g. *Aspergillus* sp., *Neurospora* sp., *Fusarium* sp. or *Trichoderma* sp., in particular strains of *A. oryzae*, *A. nidulans* or *A. niger*. The use of *Aspergillus* sp. for the expression of proteins is described in, e.g., EP 272 277, EP 230 023. The transformation of *F. oxysporum* may, for instance, be carried out as described by Malardier et al., (1989), Gene 78, 147-156.

40 In a preferred embodiment of the invention the recombination host cell is a cell of the genus *Saccharomyces*, in particular *S. cerevisiae*.

METHODS AND MATERIALS5 **DNA sequence:**

Humicola lanuginosa DSM 4109 derived lipase encoding DNA sequence.

Humicola lanuginosa lipase variants:

Variants used for preparing vectors to be opened with NruI in

10 **Example 2:**

- (a) E56R, D57L, I90F, D96L, E99K
- (b) E56R, D57L, V60M, D62N, S83T, D96P, D102E
- (c) D57G, N94K, D96L, L97M
- (d) E87K, G91A, D96R, I100V, E129K, K237M, I252L, P256T, G263A, L264Q
- 15 (e) E56R, D57G, S58F, D62C, T64R, E87G, G91A, F95L, D96P, K98I, (K237M)
- (f) E210K

Variants used for preparing DNA fragments by standard PCR amplification in Example 2:

- 20 (g) S83T, N94K, D96N
- (h) E87K, D96V
- (i) N94K, D96A
- (j) E87K, G91A, D96A
- (k) D167G, E210V
- 25 (l) S83T, G91A, Q249R
- (m) E87K, G91A
- (n) S83T, E87K, G91A, N94K, D96N, D111N.
- (o) N73D, E87K, G91A, N94I, D96G.
- (p) L67P, I76V, S83T, E87N, I90N, G91A, D96A, K98R.
- 30 (q) S83T, E87K, G91A, N92H, N94K, D96M
- (s) S85P, E87K, G91A, D96L, L97V.
- (t) E87K, I90N, G91A, N94S, D96N, I100T.
- (u) I34V, S54P, F80L, S85T, D96G, R108W, G109V, D111G, S116P, L124S, V132M, V140Q, V141A, F142S, H145R, N162T, I166V, F181P, F183S,
- 35 R205G, A243T, D254G, F262L.
- (v) E56R, D57L, I90F, D96L, E99K
- (x) E56R, D57L, V60M, D62N, S83T, D96P, D102E
- (y) D57G, N94K, D96L, L97M
- (z) E87K, G91A, D96R, I100V, E129K, K237M, I252L, P256T, G263A, L264Q
- 40 (aa) E56R, D57G, S58F, D62C, T64R, E87G, G91A, F95L, D96P, K98I

Strains:Expression system host:

5 *Saccharomyces cerevisiae* YNG318: MAT_a D_{pep4}[cir⁺] ura3-52, leu2-D2,
his 4-539

Saccharomyces cerevisiae Rad52: Strain M1533 = MAT_a rad52 ura3,
obtained from Torsten Nilsson Tillgren, Institute of Genetics,
University of Copenhagen.

10 Plasmids:

pJS026 (see figure 3)

pJS037 (see figure 4)

pYES 2.0 (Invitrogen)

15 Transformation selective marker

ura3

leu2

Media

20 SC-ura⁻: 90 ml 10 x Basal salt, 22.5 ml 20% casamino acids, 9 ml 1% tryptophan, H₂O ad 806 ml, autoclaved, 3.6 ml 5% threonine and 90 ml 20% glucose or 20% galactose added.

LB-medium: 10 g Bacto-tryptone, 5 g Bacto yeast extract, 10 g NaCl in 1 litre water.

25 Brilliant Green (BG) (Merck, art. No. 1.01310)

BG-reagent: 4 mg/ml Brilliant Green (BG) dissolved in water

Substrate 1:

10 ml olive oil (Sigma CAT NO. 0-1500)

20 ml 2% polyvinyl alcohol (PVA)

30 The Substrate is homogenised for 15-20 minutes.

Methods:Construction of yeast expression vector

35 The expression plasmids pJS026 and pJS037, are derived from pYES 2.0. The inducible GAL1-promoter of pYES 2.0 was replaced with the constitutively expressed TPI (triose phosphate isomerase)-promoter from *Saccharomyces cerevisiae* (Albert and Karwasaki, (1982), J. Mol. Appl. Genet., 1, 419-434), and the ura3 promoter has been deleted. A restriction map of pJS026 and pJS037 is shown in figure 3 and figure 4, respectively.

Preparation of the wild-type DNA fragment

A lipase wild-type DNA fragment can be prepared either by PCR amplification (resulting in low, medium or high mutagenesis), of the pJS026 plasmid or by cutting the DNA fragment out by digesting with 5 a suitable restriction enzyme.

Fermentation of *Humicola lanuginosa* lipase variants in yeast

10 ml of SC-ura⁻ medium is inoculated with a *S. cerevisiae* colony 10 and grown at 30°C for 2 days. The 10 ml is used for inoculating 300 ml SC-ura⁻ medium which is grown at 30°C for 3 days. The 300 ml is used for inoculation 5 l of the following G-substrate:

400 g Amicase
6.7 g yeast extract (Difco)
15 12.5 g L-Leucin (Fluka)
6.7 g (NH₄)₂SO₄
10 g MgSO₄·7H₂O
17 g K₂SO₄
10 ml Trace compounds
20 5 ml Vitamin solution
6.7 ml H₃PO₄
25 ml 20% Pluronic (antifoam)

In a total volume of 5000 ml:

25 The yeast cells are fermented for 5 days at 30°C. They are given a start dosage of 100 ml 70% glucose and added 400 ml 70% glucose/day. A pH=5.0 is kept by addition of a 10% NH₃ solution. Agitation is 300 rpm for the first 22 hours followed by 900 rpm for the rest of the fermentation. Air is given with 1 l air/l/min for the first 22 hours 30 followed by 1.5 l air/l/min for the rest of the fermentation.

Trace compounds:

6.8 g ZnCl₂
54.0 g FeCl₂·6H₂O
35 19.1 g MnCl₂·4H₂O
2.2 g CuSO₄·5H₂O
2.58 g CoCl₂
0.62 g H₃BO₃
0.024 g (NH₄)₆Mo₇O₂₄·4H₂O
40 0.2 g KI

100 ml HCl (concentrated)
In a total volume of 1 l.

Vitamin solution:

5 250 mg Biotin
 3 g Thiamin
 10 g D-Calciumpanthenonat
 100 g Myo-Inositol
 50 g Cholinchlorid
10 1.6 g Pyridoxin
 1.2 g Niacinamid
 0.4 g Folicacid
 0.4 g Riboflavin
In a total volume of 1 l.

15

Transformation of yeast

Saccharomyces cerevisiae is transformed by standard methods (cf. Sambrooks et al., (1989), Molecular Cloning: A Laboratory Manual, 2nd Ed., Cold Spring Harbor)

20

Determination of yeast transformation frequency

The transformation frequency is determined by cultivating the transformants on SC-ura⁻plates for 3 days and counting the number of colonies appearing. The number of transformants per mg opened plasmid is the transformation frequency.

Screening for positive variants with improved wash performance

The following filter assay can be used for screening positive variants with improved wash performance.

30

Low calcium filter assay

1) Provide SC Ura⁻ replica plates (useful for selecting strains carrying the expression vector) with a first protein binding filter (Nylon membrane) and a second low protein binding filter (Cellulose acetate) on the top.

2) Spread yeast cells containing a parent lipase gene or a mutated lipase gene on the double filter and incubate for 2 or 3 days at 30°C.

3) Keep the colonies on the top filter by transferring the top-filter to a new plate.

40

- 4) Remove the protein binding filter to an empty petri dish.
 - 5) Pour an agarose solution comprising an olive oil emulsion (2% PVA:olive oil=3:1), Brilliant green (indicator,0.004%), 100 mM tris buffer pH9 and EGTA (final concentration 5mM) on the bottom filter
 - 5 so as to identify colonies expressing lipase activity in the form of blue-green spots.
 - 6) Identify colonies found in step 5) having a reduced dependency for calcium as compared to the parent lipase.
- 10 DNA sequencing was performed by using applied Biosystems ABI DNA sequence model 373A according to the protocol in the ABI Dye Terminator Cycle Sequencing kit.
- 15 Assessing the efficiency of recombination
- 15 The number of colonies determines the efficiency of the opened vector and fragment recombination. The percentage of colonies with active lipase activity gives an estimate of the mixing of the active and inactive genes - theoretically it can be calculated for one frameshift that the closer to 50% the better mixing if equal likelihood of wild type and frameshift, 25% for 2 frameshifts and 12.5% for 3 frameshifts.

Frameshift mutation

25 The frameshift mutation were created either by filling in a restriction site (in case of 5' overhang) or deleting the "sticky ends" (in case of 3' overhang) by T4 DNA polymerase with or without dNTP (deoxynucleotides = equal amounts of dATP, dTTP, dCTP and dGTP). Methods for filling in of restriction sites (referred to as "F" on Figure 7) and deleting the sticky ends (referred to as "(D)" on Figure 7) are well known in the art.

Method for assessing colonies with lipase activity

The number of colonies and positives (i.e. with lipase activity) are calculated as the average of 3 plates.

35 The cultivation condition and screening condition used is the following:

- 1) Provide SC Ura-plates with a protein binding filter (Nylon filter) onto the plate.
- 2) Spread yeast cells containing a parent lipase gene or a mutated

40 lipase gene on the filter and incubate for 3 or 4 days at 30°C.

- 3) Remove the protein binding filter with the colonies to a petri dish containing: An agarose solution comprising an olive oil emulsion (2% PVA:Olive oil=2:1), Brilliant green (indicator, 0.004%), 100 mM tris buffer pH 9.
- 5 5) Identify colonies expressing lipase activity in the form of blue-green spots.

10 **EXAMPLES**

Example 1

Testing in vivo recombination of two homologous genes

15 The *Saccharomyces cerevisiae* expression plasmid pJS026 was constructed as described above in the "Material and Methods"-section.

20 A synthetic *Humicola lanuginosa* lipase gene (in pJS037) containing 12 additional restriction sites (see figure 4) was cut with NruI, PstI, and NruI and PstI, respectively, to open the gene approximately in the middle of the DNA sequence encoding the lipase.

25 The opened plasmid (pJS037) was transformed into *Saccharomyces cerevisiae* YNG318 together with an about 0.9 kb wild-type *Humicola lanuginosa* lipase DNA fragment (see figure 1) prepared from pJS026 by PCR amplification.

30 Further, the opened plasmid was also transformed into the yeast recombination host cell alone (i.e. without the 0.9 kb synthetic lipase DNA fragment).

35 The transformed yeast cells were grown as described in the "Materials and Method"-section above, and the transformation frequency was determined as described above.

It was found that the transformation frequency of the opened plasmid alone was very low (10 transformants per mg opened plasmid), in comparison to the transformation frequency of said plasmid/fragment (50,000 transformants per mg opened plasmid).

40 The plasmid/fragment was PCR amplified resulting in 20 transformants containing fragments covering the lipase gene region of the

recombined plasmid/fragments. The recombination mixture of the 20 transformants were analyzed by restriction site digestion using standard methods. The result is displayed in Table 1.

5 Table 1

				NruI (not tested)					
	PCR fragment	SphI	HindIII	PstI	BstXI	NhI	BstEII	KpnI	XbaI
10	P1	wt	wt	wt	wt	wt	wt	wt	wt
	P2	sg	sg	sg	wt	wt	wt	wt	wt
	P3	sg	sg	sg	sg	nd	sg	sg	nd
	P4	nd	sg	sg	wt	nd	wt	nd	nd
15	P5	wt	wt	nd	wt	wt	wt	wt	wt
	P6	sg	sg	sg	sg	sg	sg	sg	nd
20	N1	wt	wt	wt	wt	sg	wt	wt	wt
	N2	wt	wt	wt	wt	wt	wt	wt	wt
	N3	wt	wt	wt	wt	wt	wt	wt	wt
	N4	sg	sg	sg	wt	wt	wt	wt	wt
	N5	sg	sg	sg	wt	wt	wt	wt	wt
	N6	wt	wt	wt	sg	sg	sg	sg	sg
25	P/N1	sg	sg	sg	wt	wt	wt	wt	wt
	P/N2	sg	sg	sg	sg	sg	sg	sg	nd
	P/N3	sg	sg	sg	wt	nd	sg	sg	sg
	P/N4	sg	sg	sg	sg	sg	sg	sg	nd
30	P/N5	sg	sg	sg	sg	sg	sg	sg	nd
	P/N6	sg	sg	sg	wt	nd	sg	sg	sg
	P/N7	nd	wt	wt	wt	nd	wt	nd	wt
	P/N8	sg	sg	sg	wt	wt	wt	sg	nd

35 P: plasmid opened with PstI

N: Plasmid opened with NruI

P/N: plasmid opened with PstI and NruI (resulting in the removal of a 75 bp fragment)

wt: wild-type gene restriction enzyme pattern

sg: synthetic gene restriction enzyme pattern

40 nd: not determined

As can bee seen from Table 1 10 transformants (equivalent to 50%) contained recombinated DNA sequences. 4 of these 10 DNA sequences (equivalent to 20%) contained either a region of the wild-type gene recombined into the synthetic gene or a region of the synthetic gene recombined into the wild-type fragment.

Example 250 In vivo recombination of Humicola lanuginosa lipase variants

The DNA sequences of 20 variants of the *Humicola lanuginosa* lipase were in vivo recombined in the same mixture.

Six vectors were prepared from the lipase variants (a) to (f) (see the list above) by ligation into the yeast expression vector pJS037. All vectors were cut open with NruI.

5

DNA fragment of all 20 homologous DNA sequences (g) to (aa) (see the list above) were prepared by PCR amplification using standard methods.

- 10 The 20 DNA fragments and the 6 opened vectors were mixed and transformed into the yeast *Saccharomyces cerevisiae* YNG318 by standard methods. The recombination host cell was cultivated as described above and screened as described above. About 20 transformants were isolated and tested for improved wash performance
15 15 using the filter assay method described in the "Material and Methods"-section.

Two positive transformants (named A and B) were identified using the filter assay.
20

In comparison to the wild-type amino acid sequence the two recombined positive transformants had the following mutations.

25 A: D57G, N94K, D96L, P256T
----- ----- ----- =====

A is a recombination of two variants.

----- originates from the vector (d)

===== originates from the DNA fragment prepared from variant (y)

30 B: D57G, G59V, N94K, D96L, L97M, S116P, S170P, N249R
----- ??? ----- <<<< ???? =====

B is a recombination of vector (c), DNA fragments (n) and (u).

----- originates from the vector (c)

<<< originates from the DNA fragment prepared from variant (u)

===== originates from the DNA fragment prepared from variant (n)

35 ??? Amino acid mutation which is not a result of recombination.

As can be seen the resulting positive variants have been formed by recombination two or more variants. The amino acid mutations marked "?????" are not a result of *in vivo* recombination, as none of the 40 shuffled lipase variants (see the list above) comprise any of said mutations. Consequently, these mutations are a result of random

mutagenesis arisen during preparation of the DNA fragments by standard PCR amplification.

5 Example 3

Recombination with one frameshift mutantions

- 10 Synthetic *Humicola lanuginosa* lipase gene (in vector JS037) was made inactive at various positions by deleting (positions 184/385) or filling-in (position 290/317/518/746) restriction enzyme sites or by site-directed introduction of a stop codon. All inactive synthetic lipase genes of 900 bp can be deduced from Figure 7).
- 15 A number of different 900 bp DNA fragments were made from the above vectors using primer 4699 and primer 5164 using standard PCR technique. Smaller PCR fragments were made using primer 8487 and primer 4548 (260bp), primer 2843 and primer 4548 (488bp).
- 20 0.5 ml (app. 0.1 mg) of vectors Blue 425, Blue 426, Blue 428 and Blue 429, opened with Pst I (i.e. position 385), vectors Blue 424 and Blue 425 opened with NruI (i.e. position 464) were together with 3 ml (app. 0.5 mg) of fragments 424, 425, 426, 428, 429 in varios combination transformed into 100 ml *Sacchromyces cerevisiae*
- 25 YNG318 competent cells as displayed in Table 1A.

The number of colonies and positives (i.e. with lipase activity) were calculated as the average of 3 plates as described in the Material and Methods section.

30

The result of the test is shown in Table 1A

Table 1A

vector + Fragment	Number of colonies	% of colonies with active lipase activity
1. Blue 428 + 429#	774	16%
2. Blue 429 + 428#	645	3%
3. Blue 426 + 425#	276	25%
4. Blue 425 + 426	528	18%
5. Blue 425/Nru I + 426	539	28%

6. Blue 425 + 424	139	7%
7. Blue 424/NruI + 425#	74	32%
8. Blue 428 + 425	81	12%
9. Blue 428 + wt fragment	317	37%

Pairwise recombinations of one frameshift mutation on the vector and another on the fragment on the opposite side of the opening site. # determined by 9 plates; # determined by 6 plates.

- 5 The first 2 rows of Table 1A displays vectors and fragments with a frameshift on each side of the PstI site. The "mirror image" experiment in row 2 compared to row 1 gives a reproducible lower number of active colonies. The same is true for row 3 and 4 even though it is not as pronounced. Moving the opening site closer to 10 the frameshift in the vector increases the number of actives as seen in row 5. This can explain the reason for the difference in the "mirror image" experiments. In both cases the higher number of positives has the opening site closer to the frameshift in the vector.
- 15 It can therefore be concluded that the closer the mutation is to the end of the vector the higher chance of mixing. This is probably arising from the well known fact that free DNA ends have a high recombinogenic potential. Therefore it is desirable to have as many 20 free DNA ends as possible to increase the mixing of the genes. This is for example obtained in the later example with recombination of multiple overlapping fragments.
- Row 6 has a rather low number of actives probably due to the 25 location of the frameshift on the fragment exactly at the PstI opening site of the vector.
- Row 7 has the frameshift of the vector close to the opening site and again it gives a high number of actives.
- 30 Recombination with one stop codon mutations
In order to test if there are any difference in the recombination efficiency of stop codon mutations compared to frameshift mutations the following experiments were made..
- 35 The same way as described above 0.5 ml (app. 0.1 mg) vectors Blue 624, Blue 625 and Blue 626 (see Table 1B) opened with PstI

comprising stop codons at specified positions (positions 184, 317 and 746, respectively) (prepared by site-directed mutagenesis) were together with 3 ml (app. 0.5 mg) of fragments 624, 625 and 626 transformed into 100 ml *Saccharomyces cerevisiae* YNG318 competent 5 cells in various combination as displayed in Table 1B.

Table 1B

Vector + Fragment	Number of colonies	% of colonies with lipase activity
1. Blue 626 + 624	ND	40%
2. Blue 624 + 626	ND	12%
3. Blue 625 + 624	ND	75%
4. Blue 624 + 625	ND	10%

10 Pairwise recombinations of one stop codon mutation on the vector and another on the fragment on the opposite side of the opening site. ND = not determined but a high number.

15 Row 1 and 2 (in Table 1B) have the mutations located at the same place as row 1 and 2 in Table 1A. As can be seen the number of colonies with lipase activity is clearly higher for the stop codon mutations compared to the frameshift mutations, but the same relative difference between the "mirror image" experiments.

20 This might indicate that the stop codon mutations, which is closer to the "application" of the method, gives a better mixing than frameshift mutations. Row 3 and 4 confirms that the closer the mutation is to the end of the vector the higher chance of mixing.

Recombination with one or two frameshift mutation in the vector and one or two frameshift mutations in the fragment

25 Using the same approach as described above the influence of one or two frameshift mutations in the vector and one or two frameshift mutations in the fragment were tested using vectors Blue 425, 426 and 428 (one mutation) and vectors Blue 442, Blue 443 (two mutations) and fragments 442 and 443 (two frameshift mutations) and fragments 424, 425, 426, 427, 428 (one mutation) and wild-type (no mutation)

The vectors Blue 442 and 443 are double frameshift mutations: Blue 442 = 428+429 and blue 443 = 427+429 (see Figure 7).

- Recombination was performed by transforming 0.5 ml vector (app. 0.1 mg) opened with PstI and 3 ml PCR-fragment (app. 0.5 mg) into 100 ml *Saccharomyces cerevisiae* YNG318 competent cells.

The result of the test is shown in Table 2A and Table 2B

10

Table 2A

Vector + Fragment	Number of colonies	% of colonies with active Lipolase
1. Blue 425 + 442	142	15%
2. Blue 425 + 443	144	14%
3. Blue 426 + 442	42	42%
4. Blue 426 + 443#	77	20%
5. Blue 428 + 443	115	3.8%

One frameshift mutation on the vector and two on the fragment on each side of the opening site. # determined by 6 plates.

15

Table 2B

Vector + Fragment	Number of colonies	% of colonies with active Lipolase
Blue 442 + 424	137	0.5%
Blue 442 + 426	118	1.1%
Blue 442 + 427#	125	1.3%
Blue 443 + 425	540	2.5%
Blue 443 + 426	196	1.5%
Blue 443 + 428	469	3.1%
Blue 442 + wt fragment	135	7.7%
Blue 443 + wt fragment	488	10.7%

Two frameshift mutations on the vector on each side of the opening site and one on the fragment. # determined by 6 plates.

20

Table 2A shows a rather high number of colonies with lipase activity even with a total of 3 frameshifts (but only one

frameshift on the vector) except for the last row where the frameshift on the vector is located far from the opening site. Lane 4 has fewer actives than lane 3 probably due to that the frameshift on the vector is located further away from the opening site than

5 the frameshift on the fragment making the active genes mosaics that are not related to the opening site (see figure 2A). In Table 2B a very low number of actives are observed when there are 2 frameshifts located on the vector. Most of these active colonies are mosaics of the "parent" DNA meaning that the mixing is not

10 related to the opening site (see figure 2B).

Recombination with two different vectors or fragments

15 The result of recombination with two different vectors or fragments the test is shown in Table 3

Table 3

Vector + Fragment	Number of colonies	% of colonies with active Lipolase
Blue 428/pstI + Blue 429/pst #	13	15%
Blue428/pst + Blue 429/PstI + 442	273	4.2%
Blue 442/pstI + 428 + 429	228	0.8%
Blue 443/pstI + 427 + 428	229	1.6%

Recombinations with 2 different vectors or fragments. # Determined by 1 plate.

20 A low number of colonies are seen for the control experiment in row 1 of table 3 as expected. The fragment added in the middle row has two frameshifts each corresponding to the frameshift on each vector. Via a tripartite recombination 4.2% actives are created.

25 With two fragments with each one frameshifts and a vector with the same two frameshifts very few actives are found.

Recombination with vectors opened at different sites

30 Opening the vector in one side instead of approximately in the middle still gives good recombination as shown in Table 4. Two

vectors opened at different sites can also recombine to some extent (compare with the vector controls in table 13).

Table 4

Vector + Fragment	Number of colonies	% of colonies with active Lipolase
Blue 428/xho + 429	160	11%
Blue 428/xho+Blue 429/pst#	35	6.3%

- 5 Opening of the vector in one side instead of in the middle. # determined by 6 plates.

10 Recombination at different concentrations of vector and fragment

The relative concentration of vector to fragment do influence the percentage of positive colonies as can be seen in Table 5.

Table 5

Vector + Fragment	Number of colonies	% of colonies with lipase activity
0.5μl Blue 426 + 3μl 442	42	42%
1.5μl Blue 426 + 3μl 442	21	51%
1.5μl Blue 426 + 9μl 442	34	26%
1.5μl Blue 426 + 3μl 427	230	2.8%
1μl Blue 442 + 1μl 425	224	1.16%
1μl Blue 442 + 2μl 425	429	0.9%
1μl Blue 442 + 4μl 425	434	1.6%
1μl Blue 442 + 8μl 425	481	1.6%
1μl Blue 442 + 16μl 425	497	2.0%

- 15 Varying the concentration of the vector or fragment.

Recombination with fragments of different size

The size of the fragment also influences the recombination result as seen in Table 6.

5

Table 6

Vector + Fragment	Number of colonies	% of colonies with active Lipolase
Blue 424 + 425 (260bp)	73	34%
Blue 424 + 425 (489bp)	130	45%
Blue 424 + 424 (480bp)	133	0.3%
Blue 424 + 428 (480bp)	130	36%
Blue 428 + 425 (480bp)	150	28%
Blue 425 + 424 (480bp)	69	0%
Blue 425 + 428 (480bp)	63	55%

Recombination with smaller fragments than 900 bp.

10

Recombination with unopened vectors

Transformation with unopened vectors shows a very low degree of recombination (Table 7).

Table 7

Plasmid	Number of colonies	% of colonies with active Lipolase
Blue 428 + Blue 429	887	0.3%
Blue 426 + Blue 425	697	0.7%

15

Recombination of unopened plasmids.

Example 4

20

Test of *S. cerevisiae* mutants altered in recombination

Using the same approach as described in Example 3 recombination of opened and unopened vectors and fragments were tested using a *Saccharomyces cerevisiae* rad52 mutant as the recombination host

cell. The result is displayed in Table 8.

Table 8

Vector + Fragment	Number of colonies	% of colonies with active Lipolase
Blue 428 + 429	0	0
Blue 442 + 427	0	0
Blue 424 + 425	0	0
Blue 426 + 443	0	0
Plasmid pJSO 37	544	100%

Recombination result in rad52 mutant.

- 5 The result with rad52 showed that recombination was completely abolished. The RAD52 function is required for classical recombination (but not for unequal sister-strand mitotic recombination) showing that the recombination of opened vector and fragment could involve a classical recombination mechanism.

10

Example 6

Recombination of multiple partial overlapping fragments

15 In order to increase the mixing of the mutations by the recombination method of the invention, recombination of two fragments and one gapped vector were attempted.

20

Table 15

Vector + Fragment	Number of colonies	% of colonies with lipase activity
1. pJSO37/HindIII-XhoI + PCR319+PCR327	> 2000	100%
2. pJSO37/HindIII-XhoI + PCR321+PCR331	≈ 2000	≈ 0.2%
3. pJSO37/HindIII-XhoI + PCR319+PCR331	≈ 1500	≈ 1%
4. pJSO37/HindIII-XhoI + PCR319+PCR386	> 5000	> 90%
5. pJSO37/HindIII-XhoI + PCR321+PCR386	> 5000	≈ 25%
6. Blue 428/HindIII-XhoI + PCR321+PCR331	400	0.2%
7. Blue 428/HindIII-XhoI + PCR319+PCR327	≈ 1500	> 90%
8. Blue 428/HindIII-XhoI + PCR321+PCR327	≈ 150	≈ 10%

9. Blue 428/HindIII-XhoI + PCR327+PCR385	≈ 1500	$\approx 10\%$
10. Blue 429/HindIII-XhoI + PCR319+PCR386	≈ 400	$\approx 15\%$
11. Blue 429/HindIII-XhoI + PCR321+PCR386	≈ 350	$\approx 15\%$
12. Blue 442/HindIII-XhoI + PCR319+PCR327	≈ 1500	$\approx 10\%$
13. Blue 428/HindIII-XhoI +	2	0
14. Blue 429/HindIII-XhoI +	0	0
15. Blue 442/HindIII-XhoI +	6	0
16. Blue 428/HindIII-XhoI + PCR331	4	0
17. Blue 428/HindIII-XhoI + PCR321	2	0

Recombination result of two fragments and a gapped vector. The last 5 rows are controls.

As can be seen in Table 15, the recovery of the *Humicola lanuginosa* lipase gene is very efficient. The last 5 rows in Table 15 shows that the opened vector alone or with only one fragment not covering the whole gap (see figure 3) gives only very few colonies.

The first row is with wild type fragments gives 100% of active colonies.

The second row is with two fragments each containing a frameshift. The fragment PCR331 fragment has the frameshift located at the BglIII site which, in this recombination, is not covered by a wild type fragment (see figure 3) and therefore gives about 0% of active lipase. The same is the case for row 3 and 6.

In the row 4, fragment PCR386 containing a frameshift at the SphI site which is overlapped by wild type sequences in the gapped vector. The frameshift was recombined into less than 10% of the genes which is lower than the result for one fragment recombination in the last row of Table 1A above.

In row 5 a rather high mixing is observed between the 2 fragments each containing a frameshift and the wild type gapped vector giving

25% active and 75% inactive lipase colonies. This is probably due to that the fragment PCR321 has the frameshift in the overlap between the 2 fragments and in the gapped region of the vector. If fragment PCR386 contributes to 10% inactives like in row 4,

- 5 fragment PCR321 gives the remaining 65% inactives - therefore PCR386 gives 35% wt in the overlap.

Row 7 is the "mirror image" of row 4 with the frameshift at the SphI site on the vector (see Figure 7) and 2 wild type fragments 10 giving an integration of the wild type fragment into more than 90% of the vectors.

Row 8 shows like in row 5 that the frameshift of PCR321 in the overlap and gap region gives a very high number of inactive.

- 15 In row 9, fragment PCR385 with a frameshift in the vector overlap, causes a very high number of inactives.

Row 10 gives a rather high number of inactives compared to row 7
20 and 4. It is not increased in row 11.

Row 12 shows that two frameshifts on the vector gives a lower number of actives compared to one in row 7.

- 25 The recombination of 3 partial overlapping fragments into a gapped vector is also very efficient as seen in Table 16. The last row with the vector alone gives very few colonies. As can be seen in figure 4 all fragments used are wt. In the first row in table 16, there are rather long overlaps between the vector and fragments,
30 but in the middle row the overlap between PCR353 and 355 is only 10 bp long and it is still very efficiently recombined! This surprising result may be utilized for very easy domain shuffling of even distantly related genes. For example can 3 different domains from 10 different genes be made as PCR fragments, designed to have
35 a 10 to 20 bp overlap by primer design and recombined together and subsequently screened for the best combination (1000 possible combinations).

Table 16

Vector + Fragment	Number of	% of colonies with active
-------------------	-----------	---------------------------

	colonies	Lipolase
pJSO37/PvuII-SpeI + PCR353+PCR354+PCR367	> 5000	100%
pJSO37/PvuII-SpeI + PCR353+PCR355+PCR367	> 5000	100%
pJSO37/PvuII-SpeI	20	100%

Recombination result of 3 fragments and a gapped vector. The last row is a control.

SEQUENCE LISTING

(1) GENERAL INFORMATION:

5

(i) APPLICANT:

- (A) NAME: Novo Nordisk A/S
- (B) STREET: Novo Alle
- (C) CITY: Bagsvaerd
- (E) COUNTRY: Denmark
- (F) POSTAL CODE (ZIP): DK-2880
- (G) TELEPHONE: +45 4444 8888
- (H) TELEFAX: +45 4449 3256

10 (ii) TITLE OF INVENTION: Method for preparing polypeptide variants

15 (iii) NUMBER OF SEQUENCES: 15

(iv) COMPUTER READABLE FORM:

- (A) MEDIUM TYPE: Floppy disk
- (B) COMPUTER: IBM PC compatible
- (C) OPERATING SYSTEM: PC-DOS/MS-DOS
- (D) SOFTWARE: PatentIn Release #1.0, Version #1.30B (EPO)

20

(2) INFORMATION FOR SEQ ID NO: 1:

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(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 20 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

30

(ii) MOLECULE TYPE: other nucleic acid

- (A) DESCRIPTION: /desc = "Primer 2843"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:

ACAAACATTA CGTGCACGGG

20

35

(2) INFORMATION FOR SEQ ID NO: 2:

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(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 18 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: other nucleic acid

- (A) DESCRIPTION: /desc = "Primer 4699"

45

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

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(2) INFORMATION FOR SEQ ID NO: 3:

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(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 18 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: other nucleic acid

- (A) DESCRIPTION: /desc = "Primer 5164"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:

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AATTACATCA TGCAGGCC

18

(2) INFORMATION FOR SEQ ID NO: 4:

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- (A) LENGTH: 21 base pairs
 (B) TYPE: nucleic acid
 (C) STRANDEDNESS: single
 (D) TOPOLOGY: linear
 5 (ii) MOLECULE TYPE: other nucleic acid
 (A) DESCRIPTION: /desc = "Primer 8487"
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CATTTGCTCC GGCTGCAGGG A 21
 10 (2) INFORMATION FOR SEQ ID NO: 5:

- (i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 60 base pairs
 15 (B) TYPE: nucleic acid
 (C) STRANDEDNESS: single
 (D) TOPOLOGY: linear
 (ii) MOLECULE TYPE: other nucleic acid
 (A) DESCRIPTION: /desc = "Primer 4548"
 20 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:

GTTTCCGCC GGTCTGTACG GTCAGGAATT CTGCAAAAGC
 CCTGTTCCG ACTCGGGGGG 60

25 (2) INFORMATION FOR SEQ ID NO: 6:

- (i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 21 base pairs
 30 (B) TYPE: nucleic acid
 (C) STRANDEDNESS: single
 (D) TOPOLOGY: linear
 (ii) MOLECULE TYPE: other nucleic acid
 (A) DESCRIPTION: /desc = "Primer 5576"
 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:

35 GGTCTGTACG GTCAGGAATT C 21

(2) INFORMATION FOR SEQ ID NO: 7:

- 40 (i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 19 base pairs
 (B) TYPE: nucleic acid
 (C) STRANDEDNESS: single
 (D) TOPOLOGY: linear
 45 (ii) MOLECULE TYPE: other nucleic acid
 (A) DESCRIPTION: /desc = "Primer 5578"
 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 7:

50 CGTTTCGGGT GACGGGGAC 19
 (2) INFORMATION FOR SEQ ID NO: 8:

- 55 (i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 18 base pairs
 (B) TYPE: nucleic acid
 (C) STRANDEDNESS: single
 (D) TOPOLOGY: linear
 (ii) MOLECULE TYPE: other nucleic acid
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 60 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 8:

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 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 64 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
 - (ii) MOLECULE TYPE: other nucleic acid
 - (A) DESCRIPTION: /desc = "Primer 4545"
 - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 9:

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GGTATGATAT CGACGCTTTC TCAT**

64

- (2) INFORMATION FOR SEO ID NO: 10:

- (ii) MOLECULE TYPE: other nucleic acid
(A) DESCRIPTION: /desc = "Vector pJS026"

25 (vi) ORIGINAL SOURCE:
(B) STRAIN: *Humicola lanuginosa*

(ix) FEATURE:
30 (A) NAME/KEY: CDS
 (B) LOCATION:1..876

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 10:

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48

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96

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 35 40 45

144

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192

Glu Val Glu Lys Ala Asp Ala Thr Phe Leu Tyr Ser Phe Glu Asp Ser
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Gly Val Gly Asp Val Thr Gly Phe Leu Ala Leu Asp Asn Thr Asn Lys
85 90 95

60 Leu Ile Val Leu Ser Phe Arg Gly Ser Arg Ser Ile Glu Asn Trp Ile
100 105 110

65 Gly Asn Leu Asn Phe Asp Leu Lys Glu Ile Asn Asp Ile Cys Ser Gly
115 120 125

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5	ACG TTA AGG CAG AAG GTG GAG GAT GCT GTG AGG GAG CAT CCC GAC TAT Thr Leu Arg Gln Lys Val Glu Asp Ala Val Arg Glu His Pro Asp Tyr 145 150 155 160	480
10	CGC GTG GTG TTT ACC GGA CAT AGC TTG GGT GGT GCA TTG GCA ACT GTT Arg Val Val Phe Thr Gly His Ser Leu Gly Gly Ala Leu Ala Thr Val 165 170 175	528
15	GCC GGA GCA GAC CTG CGT GGA AAT GGG TAT GAT ATC GAC GTG TTT TCA Ala Gly Ala Asp Leu Arg Gly Asn Gly Tyr Asp Ile Asp Val Phe Ser 180 185 190	576
20	TAT GGC GCC CCC CGA GTC GGA AAC AGG GCT TTT GCA GAA TTC CTG ACC Tyr Gly Ala Pro Arg Val Gly Asn Arg Ala Phe Ala Glu Phe Leu Thr 195 200 205	624
25	GTA CAG ACC GGC GGA ACA CTC TAC CGC ATT ACC CAC ACC AAT GAT ATT Val Gln Thr Gly Thr Leu Tyr Arg Ile Thr His Thr Asn Asp Ile 210 215 220	672
30	GTC CCT AGA CTC CCG CCG CGC GAA TTC GGT TAC AGC CAT TCT AGC CCA Val Pro Arg Leu Pro Pro Arg Glu Phe Gly Tyr Ser His Ser Ser Pro 225 230 235 240	720
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45	AAC ATT CCG GAT ATC CCT GCG CAC CTA TGG TAC TTC GGG TTA ATT GGG Asn Ile Pro Asp Ile Pro Ala His Leu Trp Tyr Phe Gly Leu Ile Gly 275 280 285	864
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	(ii) MOLECULE TYPE: protein	
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Glu Val Glu Lys Ala Asp Ala Thr Phe Leu Tyr Ser Phe Glu Asp Ser
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 Gly Val Gly Asp Val Thr Gly Phe Leu Ala Leu Asp Asn Thr Asn Lys
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 Cys Arg Gly His Asp Gly Phe Thr Ser Ser Trp Arg Ser Val Ala Asp
 130 135 140
 15 Thr Leu Arg Gln Lys Val Glu Asp Ala Val Arg Glu His Pro Asp Tyr
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 Ile Val Lys Ile Glu Gly Ile Asp Ala Thr Gly Gly Asn Asn Gln Pro
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(2) INFORMATION FOR SEQ ID NO: 12:

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 (B) TYPE: nucleic acid
 (C) STRANDEDNESS: single
 (D) TOPOLOGY: circular
- 55 (ii) MOLECULE TYPE: other nucleic acid
 (A) DESCRIPTION: /desc = "Vector pJS037"
- 60 (vi) ORIGINAL SOURCE:
 (B) STRAIN: Humicola lanuginosa
- 65 (ix) FEATURE:
 (A) NAME/KEY: CDS
 (B) LOCATION: 1..876
- 65 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 12:

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60	TAT GGC GCC CCC CGA GTC GGT AAC CGT GCT TTT GCA GAA TTC CTG ACC Tyr Gly Ala Pro Arg Val Gly Asn Arg Ala Phe Ala Glu Phe Leu Thr 195 200 205	624
65	GTA CAG ACC GGC GGT ACC CTC TAC CGC ATT ACC CAC ACC AAT GAT ATT Val Gln Thr Gly Gly Thr Leu Tyr Arg Ile Thr His Thr Asn Asp Ile 210 215 220	672
70	GTC CCT AGA CTC CCG CCT CGA GAA TTC GGT TAC AGC CAT TCT AGC CCA Val Pro Arg Leu Pro Pro Arg Glu Phe Gly Tyr Ser His Ser Ser Pro 225 230 235 240	720
75	GAG TAC TGG ATC AAA TCT GGA ACA CTA GTC CCC GTC ACC CGA AAC GAT Glu Tyr Trp Ile Lys Ser Gly Thr Leu Val Pro Val Thr Arg Asn Asp 245 250 255	768
80	ATC GTG AAG ATA GAA GGC ATC GAT GCC ACC GGC GGC AAT AAC CAG CCT Ile Val Lys Ile Glu Gly Ile Asp Ala Thr Gly Gly Asn Asn Gln Pro 260 265 270	816

AAC ATT CCG GAT ATC CCT GCG CAC CTA TGG TAC TTC GGG TTA ATT GGG
 Asn Ile Pro Asp Ile Pro Ala His Leu Trp Tyr Phe Gly Leu Ile Gly
 275 280 285
 5 ACA TGT CTT TAG 876
 Thr Cys Leu *
 290

10 (2) INFORMATION FOR SEQ ID NO: 13:

15 (i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 292 amino acids
 (B) TYPE: amino acid
 (D) TOPOLOGY: linear

20 (iii) MOLECULE TYPE: protein
 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 13:

25 Met Arg Ser Ser Leu Val Leu Phe Phe Val Ser Ala Trp Thr Ala Leu
 1 5 10 15

30 Ala Ser Pro Ile Arg Arg Glu Val Ser Gln Asp Leu Phe Asn Gln Phe
 20 25 30

35 Asn Leu Phe Ala Gln Tyr Ser Ala Ala Ala Tyr Cys Gly Lys Asn Asn
 35 40 45

40 Asp Ala Pro Ala Gly Thr Asn Ile Thr Cys Thr Gly Asn Ala Cys Pro
 50 55 60

45 Glu Val Glu Lys Ala Asp Ala Thr Phe Leu Tyr Ser Phe Glu Asp Ser
 65 70 75 80

50 Gly Val Gly Asp Val Thr Gly Phe Leu Ala Leu Asp Asn Thr Asn Lys
 85 90 95

55 Leu Ile Val Leu Ser Phe Arg Gly Ser Arg Ser Ile Glu Asn Trp Ile
 100 105 110

60 Gly Asn Leu Asn Phe Asp Leu Lys Glu Ile Asn Asp Ile Cys Ser Gly
 115 120 125

65 Cys Arg Gly His Asp Gly Phe Thr Ser Ser Trp Arg Ser Val Ala Asp
 130 135 140

70 Thr Leu Arg Gln Lys Val Glu Asp Ala Val Arg Glu His Pro Asp Tyr
 145 150 155 160

75 Arg Val Val Phe Thr Gly His Ser Leu Gly Gly Ala Leu Ala Thr Val
 165 170 175

80 Ala Gly Ala Asp Leu Arg Gly Asn Gly Tyr Asp Ile Asp Val Phe Ser
 180 185 190

85 Tyr Gly Ala Pro Arg Val Gly Asn Arg Ala Phe Ala Glu Phe Leu Thr
 195 200 205

90 Val Gln Thr Gly Gly Thr Leu Tyr Arg Ile Thr His Thr Asn Asp Ile
 210 215 220

95 Val Pro Arg Leu Pro Pro Arg Glu Phe Gly Tyr Ser His Ser Ser Pro
 225 230 235 240

100 Glu Tyr Trp Ile Lys Ser Gly Thr Leu Val Pro Val Thr Arg Asn Asp
 245 250 255

Ile Val Lys Ile Glu Gly Ile Asp Ala Thr Gly Gly Asn Asn Gln Pro
260 265 270

5 Asn Ile Pro Asp Ile Pro Ala His Leu Trp Tyr Phe Gly Leu Ile Gly
275 280 285

Thr Cys Leu *
290

10

PATENT CLAIMS

1. A method for preparing polypeptide variants by shuffling different nucleotide sequences of homologous DNA sequences by *in vivo* recombination comprising the steps of
 - a) forming at least one circular plasmid comprising a DNA sequence encoding a polypeptide,
 - b) opening said circular plasmid(s) within the DNA sequence(s) encoding the polypeptide(s),
 - c) preparing at least one DNA fragment comprising a DNA sequence homologous to at least a part of the polypeptide coding region on at least one of the circular plasmid(s),
 - d) introducing at least one of said opened plasmid(s), together with at least one of said homologous DNA fragment(s) covering full-length DNA sequences encoding said polypeptide(s) or parts thereof, into a recombination host cell,
 - e) cultivating said recombination host cell, and
 - f) screening for positive polypeptide variants.
2. The method according to claim 1, wherein more than one cycle of step a) to f) are performed.
3. The method according to claims 1 and 2, wherein two or more opened plasmids are shuffled with one or more homologous DNA fragments in the same shuffling cycle.
4. The method according to any of claims 1 to 3, wherein the opened plasmid(s) is(are) gapped.
5. The method according to any of claims 1 to 4 wherein the ratio between the opened plasmid(s) and homologous DNA fragment(s) are in the range from 20:1 to 1:50, preferable from 2:1 to 1:10 (mol vector:mol fragments) with the specific concentrations being from 1 pM to 10 M of the DNA.
6. The method according to any claims 1 to 5, wherein 2 or more, preferably from 2 to 6, especially 2 to 4 of the DNA fragments have partially overlapping regions.

7. The method according to claim 6, wherein the overlapping regions of the DNA fragments lies in the range from 5 to 5000 bp, preferably from 10 bp to 500 bp, especially 10 bp to 100 bp.
- 5 8. The method according to any of claims 1 and 8, wherein at least one cycle of step a) to f) is backcrossing with the initially used DNA fragments.
- 10 9. The method according to any of claims 1 and 8, wherein the plasmid(s) is(are) opened in the region around the middle of the DNA sequence(s) encoding the polypeptide(s).
- 15 10. The method according to any of claims 1 to 9, wherein the plasmid(s) is(are) opened close to a mutation in the DNA sequence(s) encoding the polypeptide(s).
11. The method according to any of claims 1 to 10, wherein the DNA fragment(s) prepared in step c) is(are) prepared under conditions suitable for high, medium or low mutagenesis.
- 20 12. The method according to any of claims 1 to 11, wherein the polypeptides producible from the input DNA sequences are enzymes or proteins with biological activity.
- 25 13. The method according to claim 12, wherein the polypeptides are enzymes selected from the group including proteases, lipases, cutinases, cellulases, amylases, peroxidases, oxidases and phytases.
- 30 14. The method according to claim 12, wherein the polypeptides are proteins with biological activity selected from the group including insulin, ACTH, glucagon, somatostatin, somatotropin, thymosin, parathyroid hormone, pigmentary hormones, somatomedin, erythropoietin, luteinizing hormone, chorionic gonadotropin, hypothalamic releasing factors, antidiuretic hormones, thyroid stimulating hormone, relaxin, interferon, thrombopoietin (TPO) and prolactin.
- 35 15. The method according to any of claims 1 to 13, wherein at least one of the initially used input DNA sequences is a wild-type DNA sequence, such as a DNA sequence coding for wild-type enzymes, in particular lipases, derived from filamentous fungi, such as *Humicola* sp., in particular *Humicola lanuginosa*, especially *Humicola*

lanuginosa DSM 4109.

16. The method according to claim 15, wherein at least one of the input DNA sequences is selected from the group of vectors (a) to (f) and/or DNA fragments (g) to (aa) coding for *Humicola lanuginosa* lipase variants.
17. The method according to any of claims 1 to 13, wherein at least one of the initially used input DNA sequences is a wild-type DNA sequence, such as a DNA sequence coding for wild-type enzymes, in particular lipases, derived from filamentous fungi of the genera *Absidia*, *Rhizopus*, *Emericella*, *Aspergillus*, *Penicillium*, *Eupenicillium*, *Paecilomyces*, *Talaromyces*, *Thermoascus* and *Sclerocleista*.
18. The method according to any of claims 1 and 13, wherein at least one of the initially used input DNA sequences is a wild-type DNA sequence, such as a DNA sequence coding for wild-type enzymes, in particular lipases, derived from bacteria, such as *Pseudomonas* sp., in particular *Ps. fragi*, *Ps. stutzeri*, *Ps. cepacia*, *Ps. fluorescens*, *Ps. plantarii*, *Ps. gladioli*, *Ps. alcaligenes*, *Ps. pseudoalcaligenes*, *Ps. mendocina*, *Ps. auroginosa*, *Ps. glumae*, *Ps. syringae*, *Ps. wisconsinensis*, or a strain of *Bacillus* sp., in particular *B. subtilis*, *B. stearothermophilus* or *B. pumilus*, or a strain of *Streptomyces* sp., in particular *S. scabies*, or a strain of *Chromobacterium* sp. in particular *C. viscosum*.
19. The method according to any of claims 1 to 13, wherein at least one of the initially used input DNA sequences is a variant DNA sequence, such as a DNA sequence coding for a variant enzyme, in particular lipase variants, derived from yeasts, such as *Candida* sp., in particular *Candida rugosa*, or *Geotrichum* sp., in particular *Geotrichum candidum*.
20. The method according to any of claims 1 to 19, wherein the homologous input DNA sequences are at least 60%, preferably at least 70%, better more than 80%, especially more than 90%, and even up to 100% homologous.
21. The method according to any of claims 1 to 20, wherein the

recombination host cell is a eukaryotic cell, such as a fungal cell or a plant cell.

22. The method according to claim 21, wherein said fungal cell is a yeast cell from the group of cell of *Saccharomyces* sp., in particular strains of *Saccharomyces cerevisiae* or *Saccharomyces kluyveri* or *Schizosaccharomyces* sp., in particular *Schizosaccharomyces pombe*, or *Kluyveromyces* sp., such as *K. lactis*, or *Hansenula* sp., in particular *H. polymorpha*, or *Pichia* sp., in particular *P. pastoris*, or a filamentous fungi from the group of *Aspergillus* sp., in particular *A. niger*, *A. nidulans* or *A. oryzae*, or *Neurospora* sp., or *Fusarium* sp., in particular *F. oxysporum*, or *Trichoderma* sp..
23. The method according to any of claims 1 to 22, wherein the plasmid DNA sequence(s) coding for the polypeptide(s) is(are) operably linked to a replication sequence.
24. The method according to claim 23, wherein the plasmid DNA sequence(s) encoding the polypeptide(s) is(are) operably linked to a functional promoter sequence.
25. The method according to claim 24, wherein the plasmid is an expression plasmid.
26. The method according to claim 25, wherein the expression plasmid is pJS026 or pJS037.

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ATGAGGAGCTCCCTTGTGCTGTTCTGTCTCGGTGGACGGCCTGGCCAGTCCTATT
 5447 1 M R S S L V L F F V S A W T A L A S P I - 5506
 CGTCGAGAGGTCTCGCAGGATCTGTTAACCAAGTTCAATCTCTTGACACAGTATTCTGCA
 5507 21 R R E V S Q D L F N Q F N L F A Q Y S A - 5566
 GCCGCATACTGCAGAAAAAAACAATGATGCCAGCTGGTACAAACATTACGTGCACGGGA
 5567 41 A A Y C G K N N D A P A G T N I T C T G - 5626
 AATGCCTGCCCGAGGTAGAGAAGCGGATGCAACGTTCTACTCGTTGAAGACTCT
 5627 61 N A C P E V E K A D A T F L Y S F E D S - 5686
 GGAGTGGCGATGTCACCGGCTTCTTGCTCTCGACAAACACGAACAAATTGATCGTCCTC
 5687 81 G V G D V T G F L A L D N T N K L I V L - 5746
 TCTTTCCGTGGCTCTCGTCCATAGAGAACTGGATCGGGATCTTAACCTCGACTTGAAA
 5747 101 S F R G S R S I E N W I G N L N F D L K - 5806
 GAAATAAATGACATTGCTCCGGCTGCAGGGGACATGACGGCTTCACTCGTCTGGAGG
 5807 121 E I N D I C S G C R G H D G F T S S W R - 5866
 TCTGTAGCCGATACGTTAAGGCAGAAGGTGGAGGATGCTGTGAGGGAGCATCCGACTAT
 5867 141 S V A D T L R Q K V E D A V R E H P D Y - 5926
 CGCGTGGTGGTACCGGACATAGCTGGTGGTGCATTGGCAACTGTTGCCGGAGCAGAC
 5927 161 R V V F T G H S L G G A L A T V A G A D - 5986
 CTGCGTGGAAATGGGTATGATATCGACGTGTTTCATATGGGCCCGGAGTCGGAAAC
 5987 181 L R G N G Y D I D V F S Y G A P R V G N - 6046
 AGGGCTTTGCAGAATTCTGACCGTACAGACCGGCGAACACTCTACCGCATTACCCAC
 6047 201 R A F A E F L T V Q T G G T L Y R I T H - 6106
 ACCAATGATATTGTCCTAGACTCCGCCGCGCAATTGGTTACAGCCATTCTAGCCCA
 6107 221 T N D I V P R L P P R E F G Y S H S S P - 6166
 GAGTACTGGATCAAATCTGGAACCTTGTCCCCGTACCCGAAACGATATCGTAAGATA
 6167 241 E Y W I K S G T L V P V T R N D I V K I - 6226
 GAAGGCATCGATGCCACCGGCGCAATAACCAGCTAACATTCCGGATATCCCTGCGCAC
 6227 261 E G I D A T G G N N Q P N I P D I P A H - 6286
 CTATGGTACTTCGGGTTAATTGGGACATGTCTTAG
 6287 281 L W Y F G L I G T C L * 6322

Fig. 1

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Fig. 2

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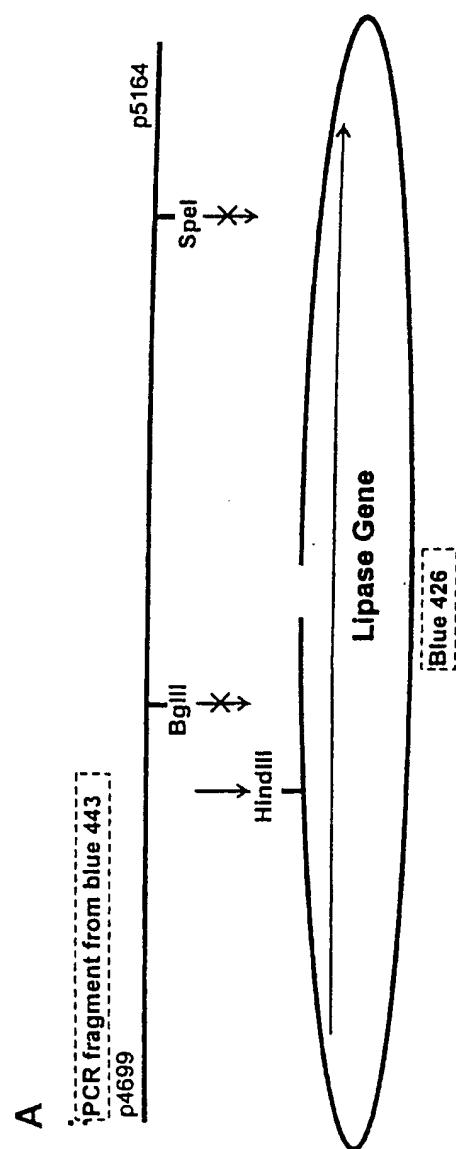


Fig. 3

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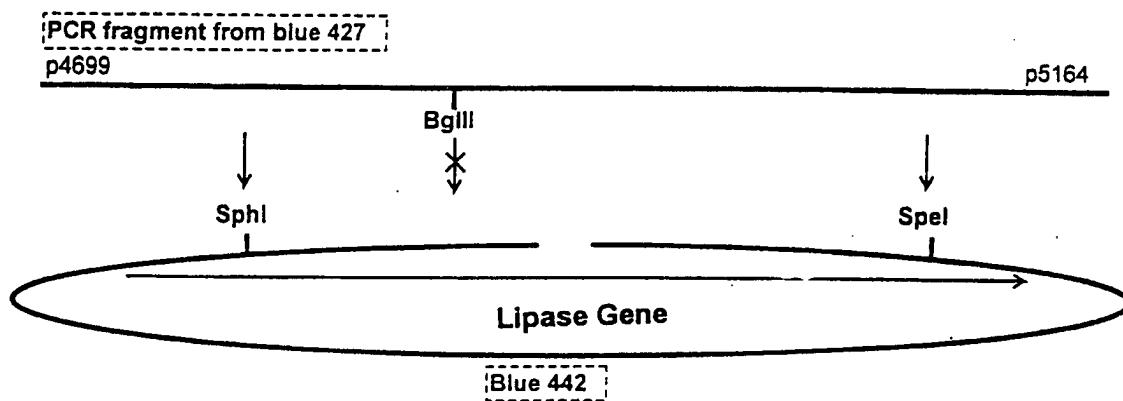
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Fig. 4

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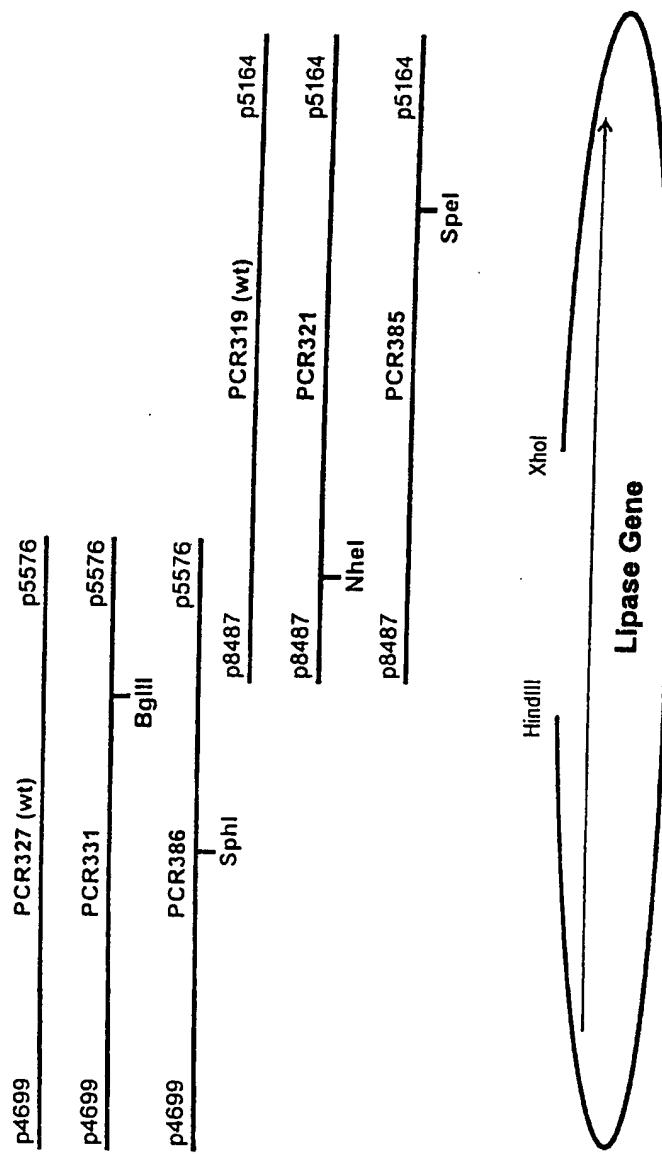


Fig. 5

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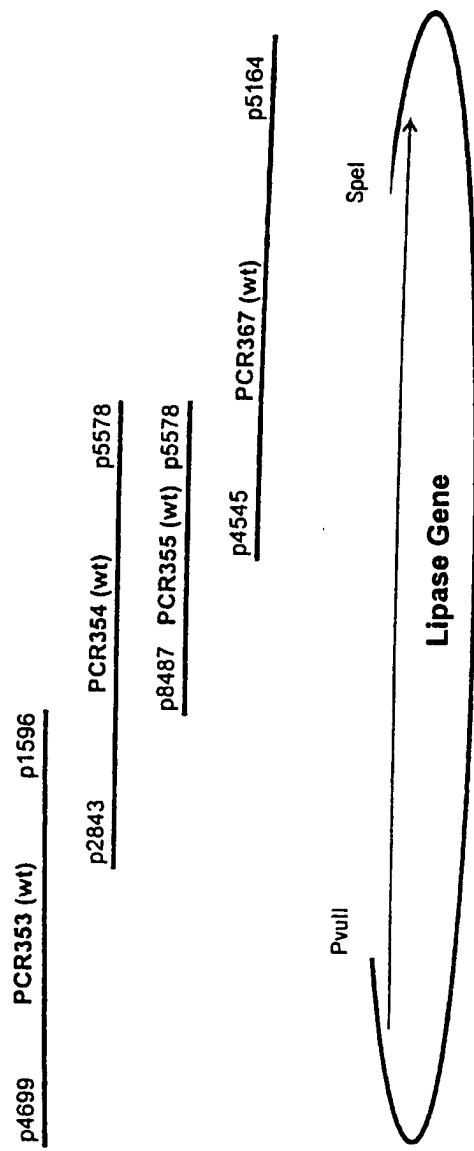


Fig. 6

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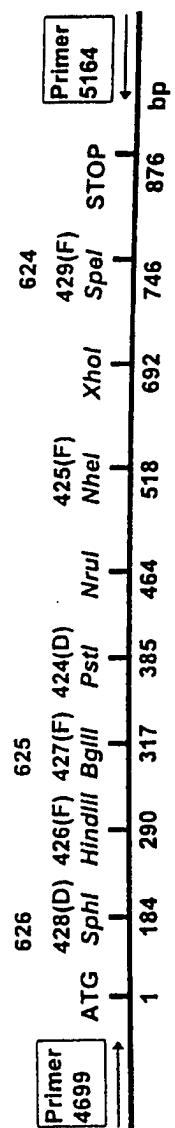


Fig. 7

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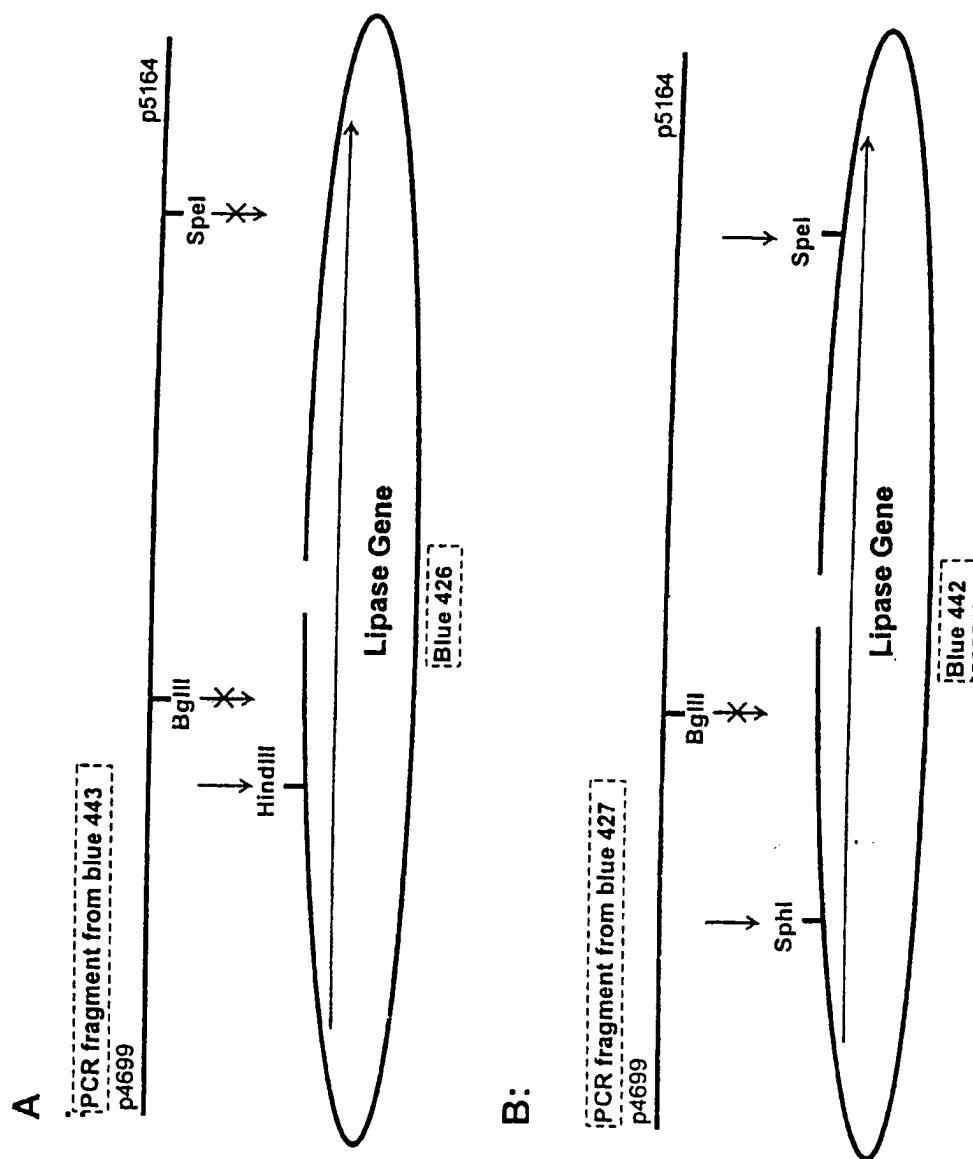


Fig. 8

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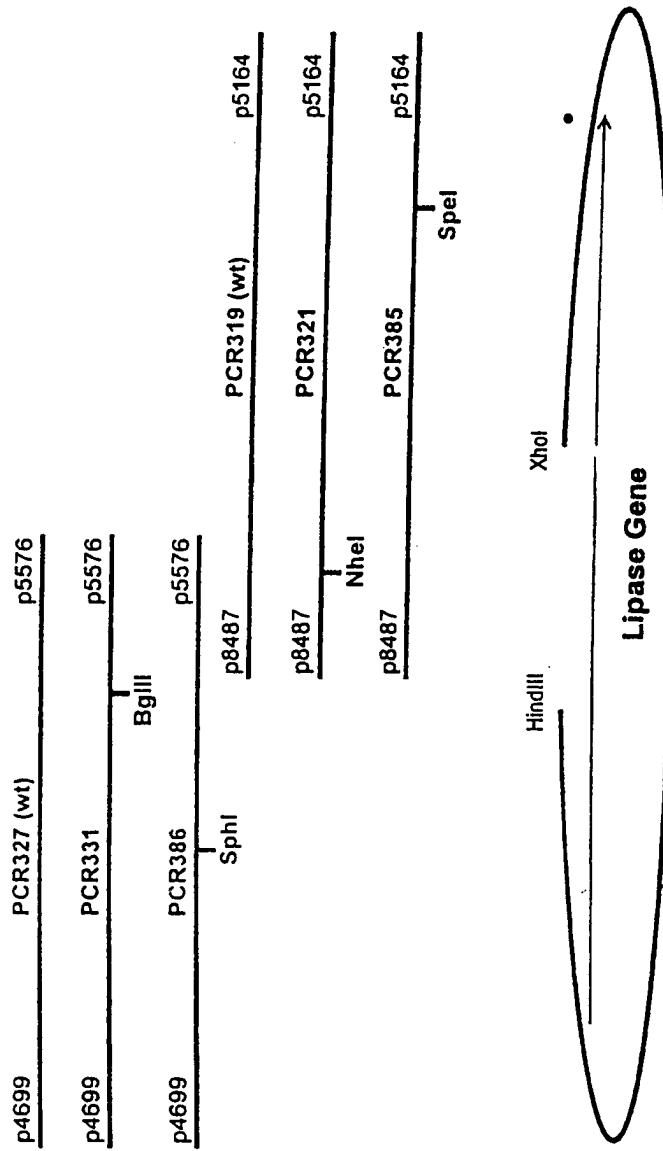


Fig. 9

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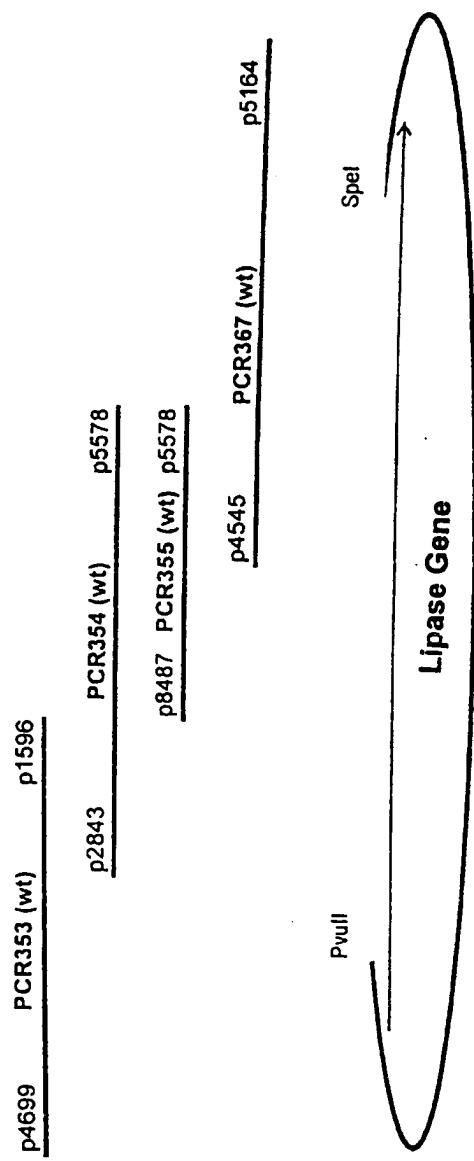


Fig. 10

INTERNATIONAL SEARCH REPORT

International application No.

PCT/DK 96/00344

A. CLASSIFICATION OF SUBJECT MATTER

IPC6: C12N 15/10, C12N 15/62, C12N 15/63

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE, DK, FI, NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPI, EPODOC, US PAT FULL, MEDLINE, BIOSIS, DBA CA, SCISEARCH, PATENT CITATION INDEX

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Gene, Volume 83, 1989, Denis Pompon et al, "Protein engineering by cDNA recombination in yeasts: shuffling of mammalian cytochrome P-450 functions", page 15 - page 24, figures 1,2 --	1-26
A	US 5093257 A (GREGORY L. GRAY), 3 March 1992 (03.03.92), column 3, line 11 - line 13 --	1-26
A	EP 0141484 A2 (BIOGEN N.V.), 15 May 1985 (15.05.85), figures -- -----	1-26

<input type="checkbox"/>	Further documents are listed in the continuation of Box C.	<input checked="" type="checkbox"/> See patent family annex.
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- * Special categories of cited documents:
- "A" document defining the general state of the art which is not considered to be of particular relevance
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- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search <u>8 November 1996</u>	Date of mailing of the international search report <u>12.11.96</u>
Name and mailing address of the ISA/ Swedish Patent Office Box 5055, S-102 42 STOCKHOLM Facsimile No. +46 8 666 02 86	Authorized officer Patrick Andersson Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH REPORT
Information on patent family members

01/10/96

International application No. PCT/DK 96/00344	
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Patent document cited in search report	Publication date	Patent family member(s)		Publication date
US-A- 5093257	03/03/92	AU-A-	5945086	08/01/87
		CA-A-	1312836	19/01/93
		DE-D,T-	3688920	23/12/93
		EP-A,B-	0208491	14/01/87
		SE-T3-	0208491	
		IE-B-	59875	20/04/94
		JP-B-	8029091	27/03/96
		JP-A-	62083891	17/04/87
EP-A2- 0141484	15/05/85	JP-A-	60070083	20/04/85